Softech Systems
(Private) Limited

BackConnect-Custody

Custody Module User Guide

955-L Johor Town Phase-II,
Lahore, Pakistan.
Ph: +92-42-3529 0561-5
Fax: +92-42-3529 0569
Web: http://www.softech.com.pk

Copyright Notice

This document contains proprietary information, and is not intended for public distribution. No part of this document can be stored in any retrieval system or reproduced, copied, photocopied or translated by any means without prior written permission of 'Softech Systems (Pvt) Ltd'

Softech Systems (Pvt) Ltd

955 – L, Phase II,

Johar Town,

Lahore.

Tel: *+92-42-5290562-4*

Fax: *+92-42-5290569*

Web: *http://www.softech.com.pk/*

Softech Systems (Private) Limited

Table of Contents

About this Document 7
Audience 7
Related Documents 7
Conventions 7
Custody Activity: 11
Announcements: 12
Custody System: 12
Shares Transaction Detail: 17
Update CDC Balance File: 17
Custody Master: 18
Import: 19
Import Bonus Activity File 19
Listing: 22
Custody Activity 22
Announcements: 23
Announcement Client Wise 23
Announcement Security Wise 24
Announcement Detail 25
Available Shares 26
Available Shares Summary Client Wise 26
Available Shares Summary Security Wise 28
Available Shares House Detail: 30
Activity Reports 31
Custody Activity 31
Client Wise Transaction Ledger 32
Shares Receipt Printing 33
Shares Receipt from Client (Folio Detail) 33
Shares Delivered to Client (Folio Detail) 34
Shares Receipt from Client to GSD 36
Shares Receive Receipt Printing 37
Shares Receive Printing 38
Custody Holding Value 39
Custody holding summary 39

Softech Systems (Private) Limited

Custody Cash Book Margin summary 40
Depository Reports 42
Depository Balance Comparison 42
Custody Net Shares Client Wise 44
Closing Rate Check List 46
Custody Dividend Client Wise 47
Custody Dividend Security Wise 49
Branch Wise Availability 50
Custody Share Valuation 51

Softech Systems (Private) Limited

Table of figures

Figure 1: Custody Activity 11
Figure 2:Announcements 12
Figure 3: System setting 13
Figure 4: Shares Transaction Detail 17
Figure 5: Update CDC Balance File 18
Figure 6: Custody Master 18
Figure 7: Import Bonus Activity File 20
Figure 8: Custody Activity Parameter Form 22
Figure 9: Custody Activity Report 22
Figure 10: Announcement parameter form 23
Figure 11: Announcement Report 23
Figure 12: Announcement Security wise parameter form 24
Figure 13: Announcement security wise report 24
Figure 14: Announcement Detail 25
Figure 15: Available Shares Summary client wise parameter form 26
Figure 16: Available shares client wise reprot 27
Figure 17: Available Shares Security Wise parameter form 28
Figure 18: Available Shares Security wise report 29
Figure 19: Available Shares House Detail parameter form 30
Figure 20: Custody Activity parameter form 31
Figure 21: Custody activity report 31
Figure 22: Client wise Transaction Ledger parameter form 32
Figure 23: client wise transaction ledger report 32
Figure 24: Shares Receipt from client parameter form 33
Figure 25: Shares receipt from client report 34
Figure 26: Shares delivered to client parameter from 34
Figure 27: Shares Receipt form Client to GSD parameter form 36
Figure 28: Shares Receipt form Client to GSD report 37
Figure 29: shares receive receipt parameter form 37
Figure 30: Shares Receive Recipet Report 38
Figure 31: Shares Receive printing parameter from 38
Figure 32: Shares Receive Printing Report 39
Figure 33: Custody Holding Summary parameter form 39
Figure 34: Custody Holding summary Report 40
Figure 35: Custody Cash Book Margin Summary 40
Figure 36: Custody Cash Book Margin Summary Reprot 41
Figure 37: Depository Balance Comparison parameter form 42
Figure 38: Depository comparison balance reprot 43
Figure 39: Custody Net Shares Client wise 44
Figure 40: Custody net shares report 45
Figure 41: Closing Rate check List 46
Figure 42: closing rate check list report 47
Figure 43: Custody dividend client wise parameter form 47

Softech Systems (Private) Limited

Figure 44: Custody dividend client wise report 48
Figure 45: Custody dividend security wise 49
Figure 46: Custody dividend security wise report 49
Figure 47: Branch Wise Availability Parameter Form 50
Figure 48: Branch Wise Availability Report 50
Figure 49: Custody Share Valuation parameter from 51
Figure 50: Custody Share Valuation Report 51

Softech Systems (Private) Limited

About this Document

This document is all about the Custody module of the BackConnect Application. It describes how to setup the basic data, the tariff structures and configure the system default. It also describes the updating of custody, process of bill generation, and the reports.

This document does not explain the industry practices or the general concepts of the Custody management. It is assumed that the readers of this document and the users of the Custody system of the BackConnect already posses a strong working knowledge of the conventions used and the practices followed in this business.

This document also does not explain the general operations (saving, editing and deleting of records etc) and the common functions available in the system. To make you familiar about the basics of the BackConnect, kindly go through the 'BackConnect User Manual – Getting started' document.

Document Composition

This document is composed of three major segments. The first segment (Section 2 to 4) discusses about setting up and configuring the Custody module for daily operations. It contains:

- Defining the required data for custody movements;
- Setting up the tariff structure; and
- Configuring the system defaults for custody transactions.

Audience

The targeted audience of this document is the users of the Equity Trading and Settlement module of the ***BackConnect*** application

Softech Systems (Private) Limited

Related Documents

Every module has its own specific user manual that covers the features and issues related with that business, while *Getting Started* is a must read document for all the users of this system.

The module wise documents available in the system are:

- User Manual – Equity Trading and Settlement Module
- User Manual – Custody Module
- User Manual – GL Module

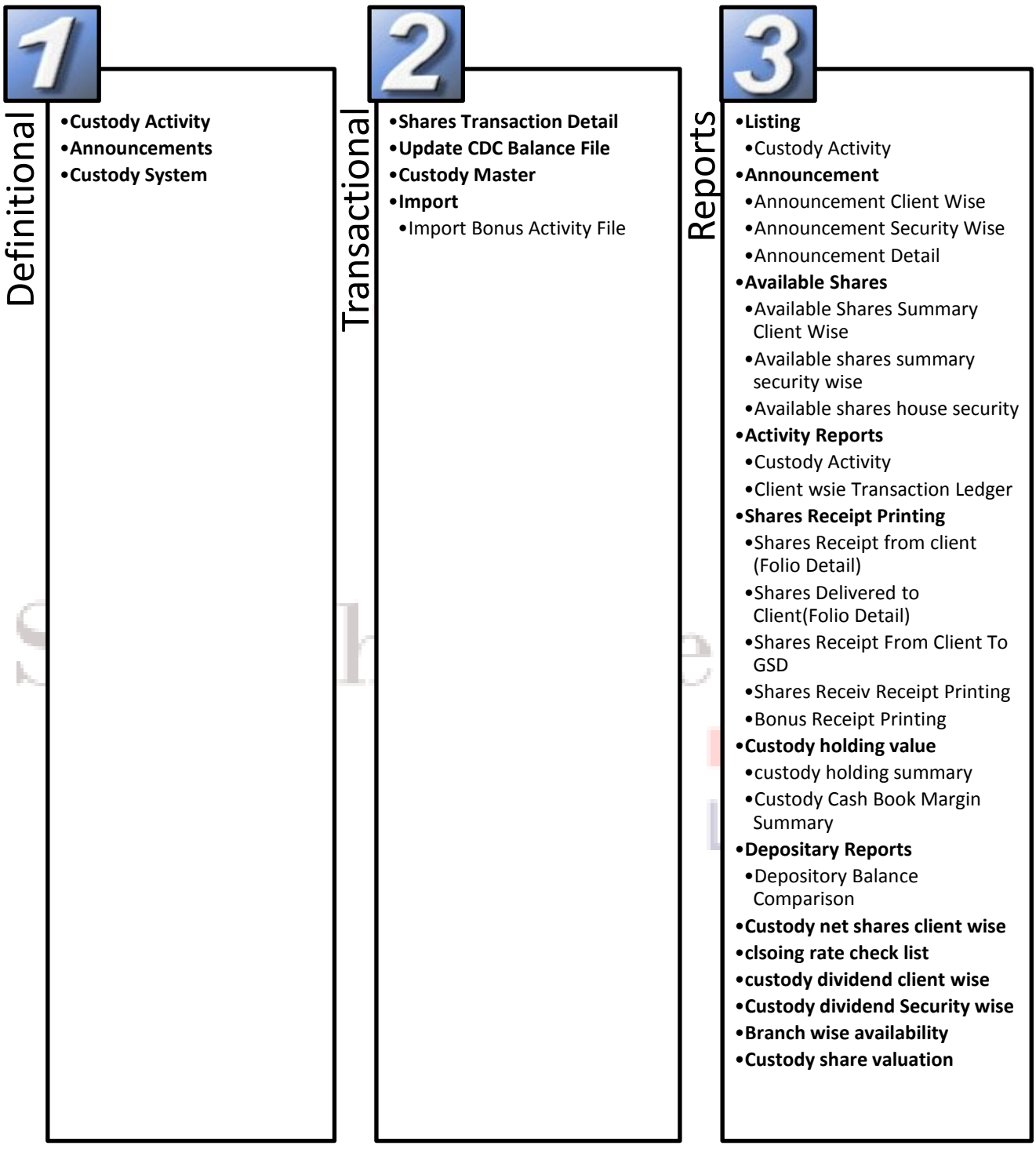
For Administrators there is an Ad*ministrators Guide* and *Installation Guide* as well. Senior managers of the GL system are required to study the *Administrators Guide* (especially the *Authority Management* section) to properly setup the user access controls and the interfaces with other modules, if required.

Conventions

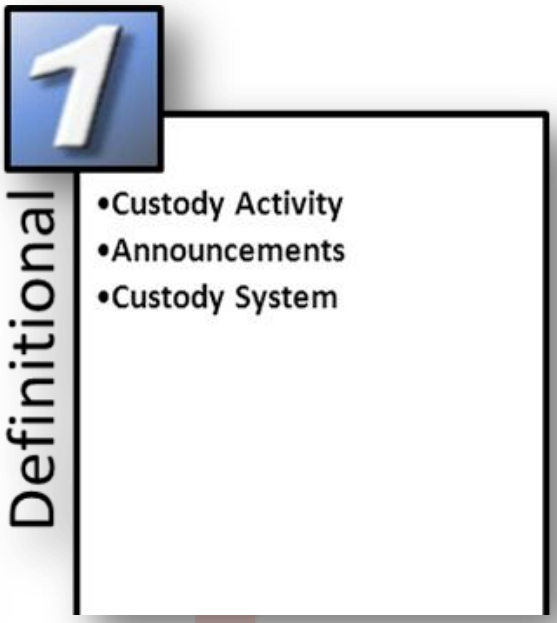
CONVENTION / STYLE	Purpose
Bold	Name of application objects, e.g. menus, screens, buttons etc.
Mono-spaced	The text should be typed, or keyboard keys.
Italic	Name of other documents and sections.

Introduction:

Softech Systems
(Private) Limited


1
Definitional
•Custody Activity
•Announcements
•Custody System
2
Transactional
•Shares Transaction Detail
•Update CDC Balance File
•Custody Master
•Import
•Import Bonus Activity File
3
Reports
•Listing
•Custody Activity
•Announcement
•Announcement Client Wise
•Announcement Security Wise
•Announcement Detail
•Available Shares
•Available Shares Summary Client Wise
•Available shares summary security wise
•Available shares house security
•Activity Reports
•Custody Activity
•Client wsie Transaction Ledger
•Shares Receipt Printing
•Shares Receipt from client (Folio Detail)
•Shares Delivered to Client(Folio Detail)
•Shares Receipt From Client To GSD
•Shares Receiv Receipt Printing
•Bonus Receipt Printing
•Custody holding value
•custody holding summary
•Custody Cash Book Margin Summary
•Depositary Reports
•Depository Balance Comparison
•Custody net shares client wise
•clsoing rate check list
•custody dividend client wise
•Custody dividend Security wise
•Branch wise availability
•Custody share valuation

Definitional



Softech Systems
(Private) Limited

Custody Activity:

Definitions > Custody > Custody Activity

This screen is used to define and maintain the list of different activities in the Custody e.g. send to CDC, receive from client etc. List of already defined/existing records can be viewed and selected through LIST tab.

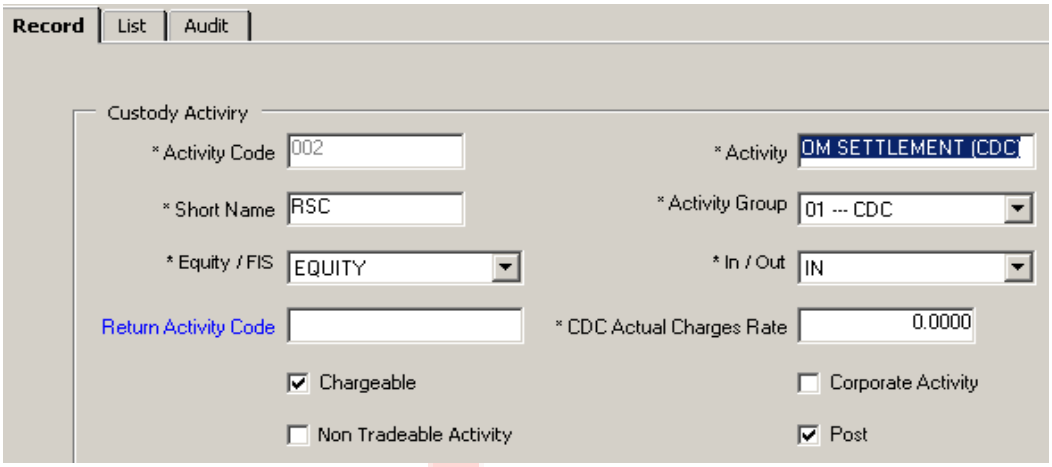


Figure 1: Custody Activity

Activities can be of type IN or OUT type. You can also associated the return activity codes, e.g. for IN type of activity, you can select an OUT type of activity as a return and vice versa

Screen Explanation

Field	Function
Activity Code	A unique activity code
Activity	Enter activity description in this field
Short Name	Give a short name to activity
Activity Group	Select from drop down list activity group **CDC**: it will mark activity as CDC activity. **Physical**: it will mark activity as physical activity.
Equity/FIS	Select equity or FIS form drop down list to market activity for respective business area
In/Out	Activities defined are either IN or OUT. IN: shares are transferred in. OUT: shares are transferred out.
Return Activity Code	Enter return activity code for corporate activities.
CDC Actual Charges Rate	Enter CDC actual charges rate
Chargeable	Check this box to make this activity chargeable
Corporate Activity	Check this box to mark activity as corporate activity
Non Tradable Activity	Check this box to make activity as non tradable
Post	Check to post the transaction

Announcements:

Definitions > Custody > Announcements

This screen in used to enter the announcements into the system. List of already defined/existing records can be viewed and selected through LIST tab.

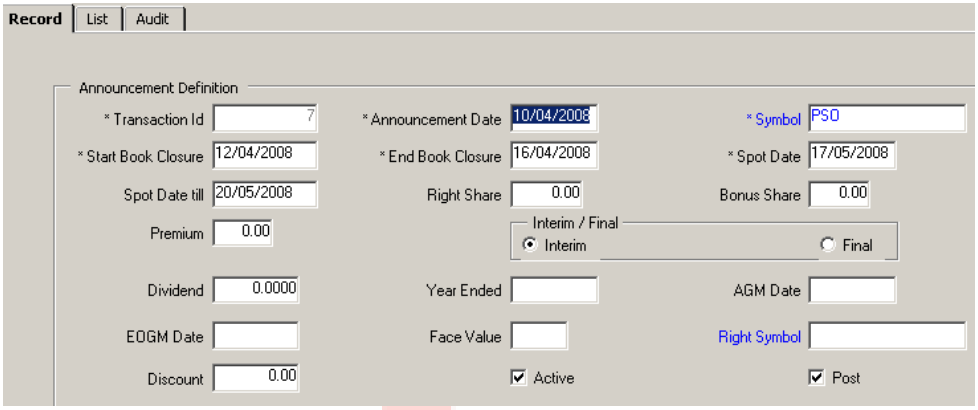


Figure 2: Announcements

Field	Function
Transaction Id	An auto generated unique ID
Announcement Date	Date of announcement
Symbol	Select from LOV, symbol of security for which announcement is to be inserted
Start Book Closure	Enter start book closure date
End book closure	Enter end book closure date
Spot date	Enter spot date
Spot date till	Enter spot date ending date
Right share	Enter right shares announced by company
Bonus share	Enter bonus shares announced by company
Premium	Enter premium announced by company
Interim/final	Select interim announcement or final announcement by selecting respective radio buttons
Dividend	Enter per share dividend as announced by company
Year Ended	Enter year ended
AGM Date	Enter annual general meeting date
EOGM Date	Enter extra ordinary general meeting date
Face value	Enter face value of shares
Right Symbol	Select from LOV, right share symbol
Discount	Enter discount as announced
Active	Check to make announcement active
Post	Check to post the transaction

Custody System:

Definitions > Custody > Custody System

System defaults are some very basic information about the custody module of the application. It is very important to set the proper values in the system. System Defaults are either set by you or your administrator, or when you receive the software. Some of the default values can be changed at run time; however, most of the values are not changeable after the first setup, so it is very important to setup proper values at the first instance.

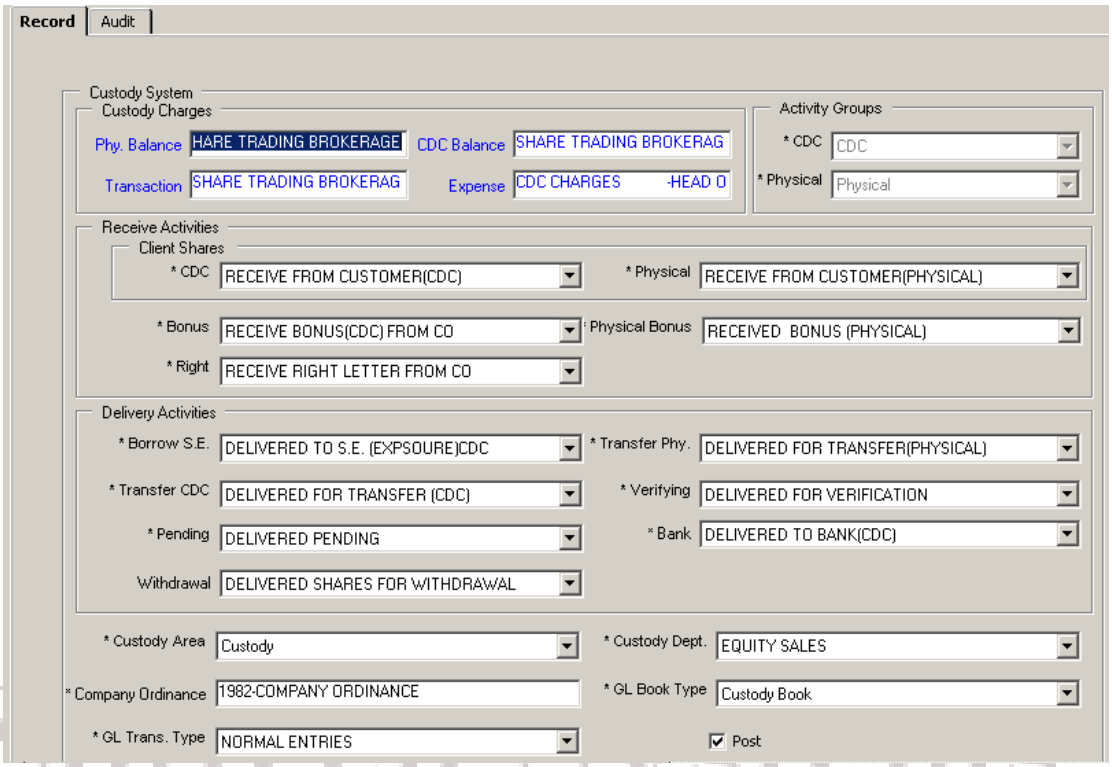


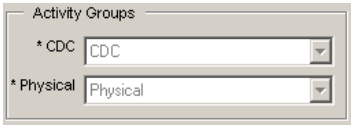
Figure 3: System setting

The Custody System screen has four sections.

Custody Charges	In Custody Charges you can associate different type of Charges with the Expenses you have defined in the Custody Expense Master Screen.
Receive Activities	In Receive Activities you can associate different type of 'IN' activities that you have defined in Custody Activity Screen.
Delivery Activities	In Delivery Activities you can associate different type of 'OUT' activities that you have defined in the Custody Activity Screen.
General Section	In general section you can define the transaction type and book type of Custody bill, and the department and business area of the custody department i.e. which department and business area respectively maintains the custody.

Custody Charges

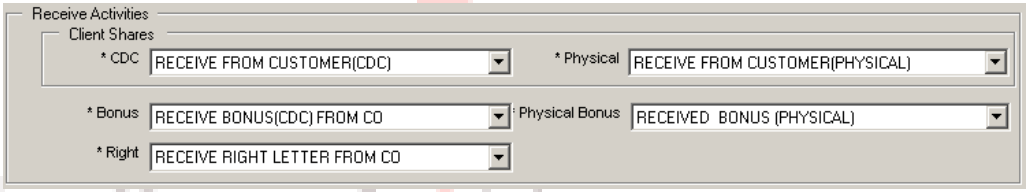
Field	Function
Phy. Balance	Select from LOV physical balance custody charges
CDC Balance	Select from LOV, CDC balance custody charges
Transaction	Select from LOV, transaction
Expense	Select from LOV, expense



Field	Function
CDC	Select CDC from drop down list, by default when system is delivered it is configured and disabled so user can not change it
Physical	Select Physical from drop down list, by default when system is delivered it is configured and disabled so user can not change it

Receive Activities:

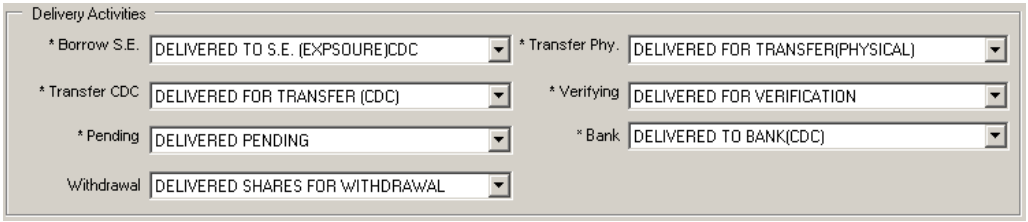
Enter default system receive activities



Field	Function
CDC	Enter CDC Receive activity
Physical	Enter physical receive activity
Bonus	Enter bonus receive activity
Physical Bonus	Enter physical bonus receive activity
Right	Enter right receive activity.

Deliver activities:

This section is used to define default delivery activities of custody

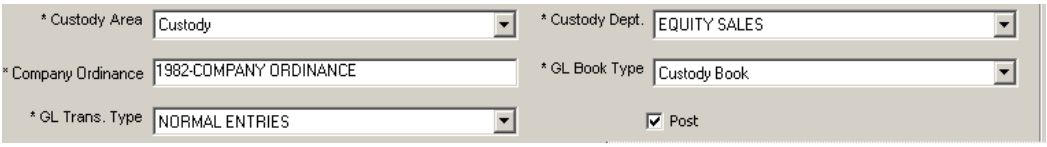


Field	Function
Borrow S.E.	Enter deliver activity for borrow stock exchange
Transfer Phy.	Select deliver activity for physical transfer
Transfer CDC	Select deliver activity fro CDC transfer
Verifying	Select deliver activity for verification
Pending	Select deliver activity for pending status
Bank	Select deliver activity for bank

Withdrawal	Select deliver activity for withdrawal

General Activities:

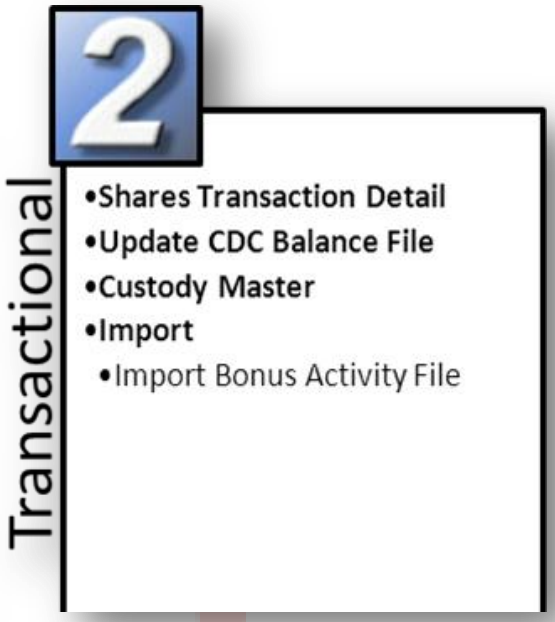
This section defines general activities for custody.



Field	Function
Custody	Select custody area
Custody Dept.	Select custody department
Company Ordinance	Enter company ordinance
GL Book Type	Select GL book type
GL Trans. Type	Select Transaction type
Post	Check to post

Softech Systems (Private) Limited

Transactional



Softech Systems
(Private) Limited

Shares Transaction Detail:

Transactions > Custody > Announcements

This is used to enter shares transaction detail in the system. This screen can be accessed from given navigation as well as it can be called from custody master.

Figure 4: Shares Transaction Detail

Field	Function
Folio #	An auto generated unique next available folio number will be populated in this field,
Certificate Folio	Enter certificate folio number
Transaction ID	Enter transaction id from LOV, it will be entered automatically if this screen is called from Custody Master screen but user have to select transaction id if it is accessed through navigation given above.
Volume	Enter volume of shares received
Certificate No From	Enter starting number of certificates
Certificate No To	Enter ending number of certificates
Distinct No From	Enter distinct starting number
Distinct No To	Enter distinct ending number
No. of Cert.	Enter number of certificates
No. of Shares	Enter number of shares
Post	Check to post the transaction.

Update CDC Balance File:

Transactions > Custody > Update CDC Balance File

This file imports the positions from the CDC Balance file. You can then compare your house and CDC positions by viewing the CDC Balance Comparison report

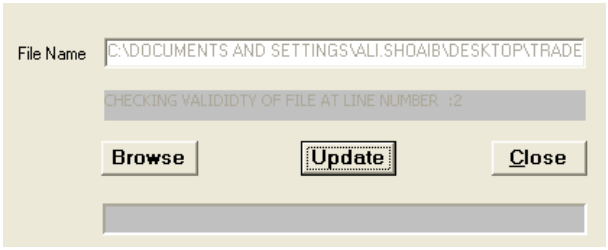


Figure 5: Update CDC Balance File

Field	Function
Browse	Press this button to navigate to import file
Update	Press this button to start importing file
Close	Press this button to close windows.

Custody Master:

Transactions > Custody > Custody Master

This screen is used to enter and maintain the custody transactions. All custody activities in the system are entered through this screen.

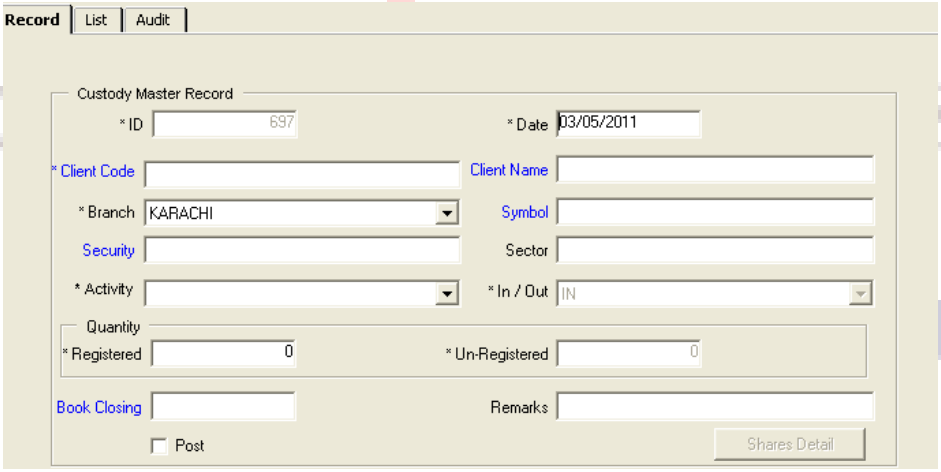


Figure 6: Custody Master

This screen has four major sections; these are Custody Master Record, Equity Billing, Custody Billing, and Net Custody Position.

Custody Master Record section contains all the fields that could be updated from the screen. All these fields are related to the insertion of custody transaction in the system.

Equity Billing section contains the information related to the Equity Bill attached with the respective transaction.

Custody Billing section contains the information related to the Custody Bill attached with the respective transaction.

Net Custody Position section tells the "Net Custody Position of the Selected Client against the security in the record".

All the information in the Billing sections is un-editable and if the information related to billing is attached with the record then the **Custody Master Record** section also becomes un-editable

Field	Function
ID	Unique auto increment primary key
Date	Enter date of transaction, by default system date appears in most of date fields
Client Code	Select form LOV, client to enter its transaction
Client Name	As client code is selected its name will auto populate in this field.
Branch	Select from drop down branch of client
Symbol	Select from LOV, security to enter its transaction for selected client.
Security	As symbol is selected security will auto populate in this field Alternatively, user can enter security from LOV and its symbol will auto populate
Sector	Sector of security will auto populate in this field. It is disabled.
Activity	Select activity from drop down list of the transaction
In/Out	Select as transaction either IN or OUT type
Quantity Registered	Enter registered quantity of shares
Un-Registered	Enter un-registered quantity of shares
Book Closing	Select from LOV, book closing
Remarks	Enter remarks to attach them with transaction
Post	Check to post the transaction
Shares Details	Press this button to open shares details to attach shares detail information with transaction.

Import:

Import Bonus Activity File

Transactions > Custody > Import > Import Bonus Activity File

This screen is used to import bonus shares activity file.

Softech Systems (Private) Limited

Figure 7: Import Bonus Activity File

Field	Function
File name	Press button besides this field to navigate to file to be imported, file format of imported file is given at the bottom of screen
Transaction Date	Enter transaction date
Execute	Press this button to start importing file in to the system
Invalid Records: records that could not be imported will appear in this grid with proper reason as why these could not be imported.	
Line #	Line number where record exists that could not be uploaded on file
Status	Status either Duplicate or Invalid will populate
Reason	Reason as why particular record could not be entered in this system
Path	Select path where user want to export errors of records that could not be imported in file
Export	Press this button to export errors.

Softech Systems (Private) Limited

Reports

Softe tems
e) Limited

Listing:

Custody Activity

Reports > Custody > Listing > Custody Activity

This report is used to print listing of all custody activities defined in the system. User can enter filter criteria to filter particular type of custody activities.

Parameter Form:

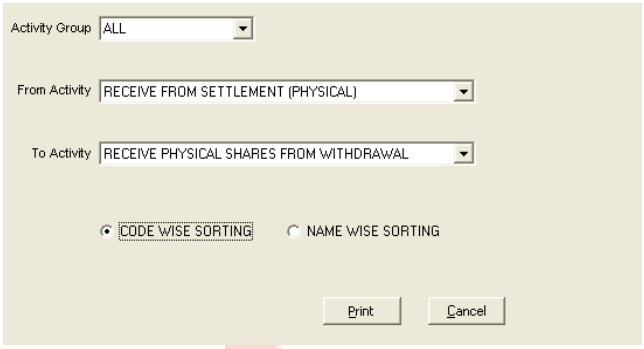


Figure 8: Custody Activity Parameter Form

Field	Function
Activity Group	Select activity group to print activities of that particular group only **CDC**: it will select activities of CDC group only. **Physical**: It will filter activities of physical group only.
From Activity	User can give range of activities to print. Select form drop down list starting activity range
To Activity	Select ending range to print that particular range in report.
Code Wise Sorting	Check this box to sort report according to activity code
Name Wise Sorting	Or user can Check this box to sort report activity name wise

Screenshot:

Softech Systems (Pvt) Ltd.
Custody Activity Listing

Page 1 of 2

Activity Group ALL
From Activity Code 001 RECEIVE FROM SETTLEMENT (PHYSICAL)
To Activity Code 044 RECEIVE PHYSICAL SHARES FROM WITHDRAWAL

User Id: ADMIN
Date: 12/05/2011
Time: 02:42:22 PM

Activity Code	Activity	Short Name	Activity Group	In Or Out	Chargeable	Equity Fis	Corporate Activity	Return Activity Code	Cdc Actual Charges	Post
001	RECEIVE FROM SETTLEMENT (PHYSICAL)	RSP	Physical	I	0	E	0		0.00	1
002	RECEIVE FROM SETTLEMENT (CDC)	RSC	CDC	I	1	E	0		0.00	1
003	RECEIVE FROM OTHER (PHYSICAL)	ROP	Physical	I	0	E	0		0.00	1
004	RECEIVED BONUS (PHYSICAL)	RBP	Physical	I	0	E	0		0.00	1
005	RECEIVE BONUS(CDC) FROM CO	RBC	CDC	I	0	E	0		0.00	1
006	RECEIVE CERTIFICATE AFTER EXCHANG(RAL)	RE	Physical	I	0	E	0		0.00	1
007	RECEIVED FROM BANK(CDC)	RBN	CDC	I	1	E	0		5.00	1
008	RECEIVE FROM BANKS(PHYSICAL	BNC	Physical	I	0	E	1		0.00	1
009	RECEIVE FROM S.E. EXPOSURE (CDC)	RKC	CDC	I	0	E	1		0.00	1
010	RECEIVE FROM FIS(PHYSICAL)	RFP	Physical	I	0	F	0		0.00	1
012	RECEIVE RIGHT LETTER FROM CO	RIG	Physical	I	0	E	0		0.00	1

Figure 9: Custody Activity Report

Announcements:

Announcement Client Wise

Reports > Custody > Announcement > Announcement Client Wise

This report displays the announcements of the current day grouped by clients, so you can see the effected clients on that particular day. In parameter form you can filter the data on the basis of date, client and securities.

Parameter Form:

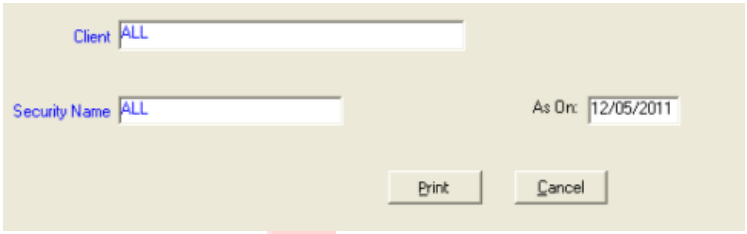
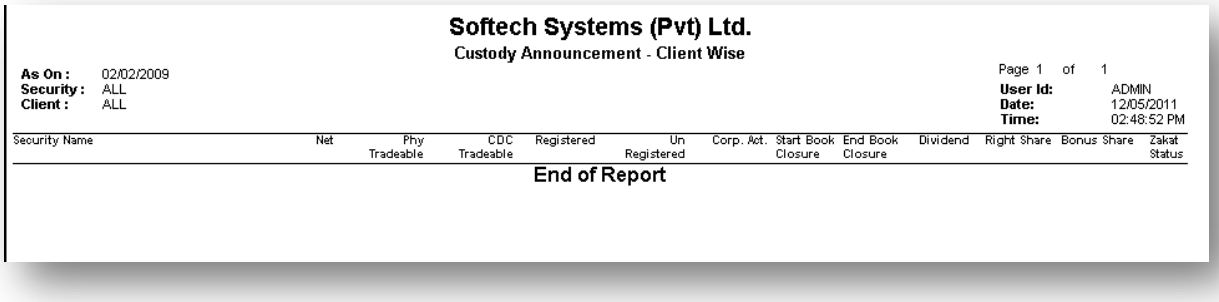


Figure 10: Announcement parameter form

Field	Function
Client	Select from LOV, client to print announcements of that particular client.
Security Name	Select from LOV, security to print announcements of that particular security
As On	Enter date to get announcements of that particular date.

Softech Systems

Screenshot:



Softech Systems (Pvt) Ltd.

Custody Announcement - Client Wise

As On : 02/02/2009
Security : ALL
Client : ALL

Page 1 of 1
User Id: ADMIN
Date: 12/05/2011
Time: 02:48:52 PM

Security Name	Net	Phy Tradeable	CDC Tradeable	Registered	Un Registered	Corp. Act.	Start Book Closure	End Book Closure	Dividend	Right Share	Bonus Share	Zakat Status

End of Report

Figure 11: Announcement Report

Announcement Security Wise

Reports > Custody > Announcement > Announcement Security Wise

This report displays the announcements of the current day grouped by securities, so you can see the effected securities on that particular day. In parameter form you can filter the data on the basis of client and securities.

Parameter Form:

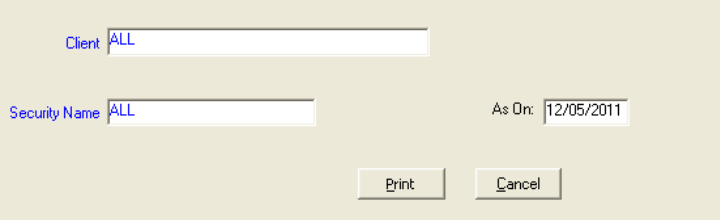


Figure 12: Announcement Security wise parameter form

Field	Function
Client	Select form LOV, client to filter report for that particular client
Security Name	Select from LOV, security to filter report for that particular security
As on	Enter date to filter report for that particular date

Softech Systems

Screenshot:

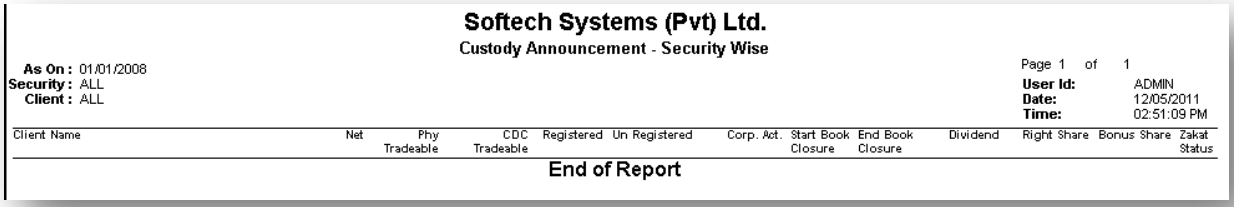

Softech Systems (Pvt) Ltd.
Custody Announcement - Security Wise
As On : 01/01/2008
Security : ALL
Client : ALL
Page 1 of 1
User Id: ADMIN
Date: 12/05/2011
Time: 02:51:09 PM
Client Name | Net | Phy Tradeable | CDC Tradeable | Registered | Un Registered | Corp. Act. | Start Book Closure | End Book Closure | Dividend | Right Share | Bonus Share | Zakat Status
End of Report

Figure 13: Announcement security wise report

Announcement Detail

Reports > Custody > Announcement > Announcement Detail

In this report you can view all the announcements grouped by securities. In Parameter form you can filter the data on the basis of date range for announcement date and security (single or all).

Parameter Form:

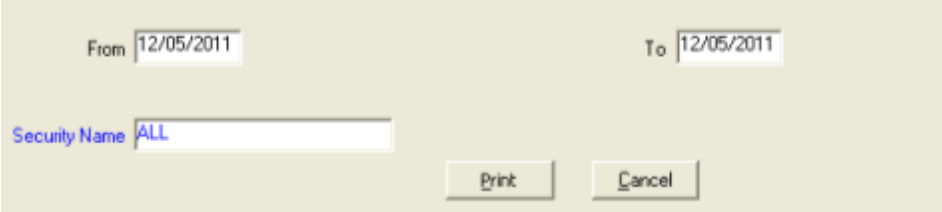


Figure 14: Announcement Detail

Field	Function
From	Enter start date of range to filter report for that particular range
To	Enter end date of range to filter report for that particular range
Security Name	Select form LOV, security to filter report for that particular security

Screenshot:

Softech Systems (Private) Limited

Available Shares

Available Shares Summary Client Wise

Reports > Custody > Available Shares > Available Shares Summary Client Wise

This report displays the quantity of all shares available in the custody. The list is grouped on clients and you can view the quantity of shares in custody of each client. In Parameter form you can filter the list on the basis of the date, the client and security.

Parameter Form:

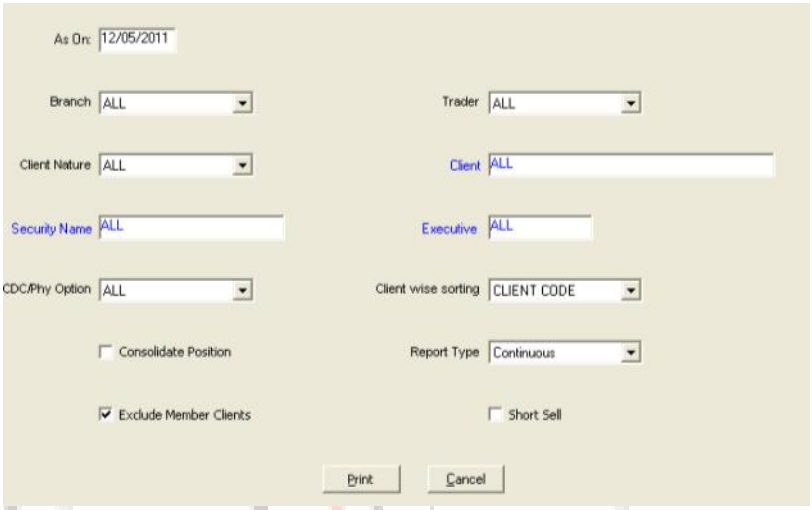
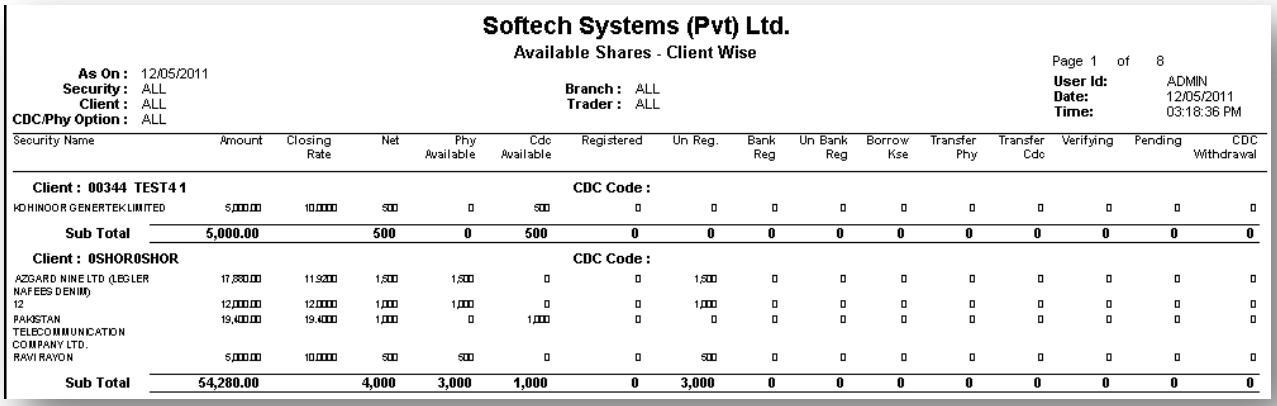


Figure 15: Available Shares Summary client wise parameter form

Softech Systems (Private) Limited

Field	Function
As On	Enter date to filter report for that particular date
Branch	Select from drop down list, branch to filter report for that particular branch
Trader	Select from drop down list, trader to filter report for that particular trader
Client Nature	Select from drop down client nature (individual, join, institutional) to filter report for that particular client nature
Client	Select form LOV, client to filter report for that particular client
Security Name	Select form LOV, security to filter report for that particular security
Executive	Select form LOV, executive to filter executive clients
CDC Phy. option	Select CDC or physical to filter report for that particular group
Client Wise Sorting	Select client wise sorting either client code or CDC code to sort the report
Consolidate Position	Check this box to print individual client report with consolidated position
Report Type	**Continuous**: it will print report client wise in continuous basis **One client per page**: it will print report once client per page wise.
Exclude Member Clients	Check this box to exclude member clients
Short Sell	Check this box to include short sell transactions in report

Screenshot:



Softech Systems (Pvt) Ltd.

Available Shares - Client Wise

Page 1 of 8

As On : 12/05/2011
Security : ALL
Client : ALL
CDC/Phy Option : ALL

Branch : ALL
Trader : ALL

User Id: ADMIN
Date: 12/05/2011
Time: 03:18:36 PM

Security Name	Amount	Closing Rate	Net	Phy Available	Cdc Available	Registered	Un Reg.	Bank Reg	Un Bank Reg	Borrow Kse	Transfer Phy	Transfer Cdc	Verifying	Pending	CDC Withdrawal
Client : 00344 TEST4 1						**CDC Code :**									
KOHINOOR GENERTEK LIMITED	5,000.00	10.0000	500	0	500	0	0	0	0	0	0	0	0	0	0
Sub Total	**5,000.00**		**500**	**0**	**500**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Client : 0SHOR0SHOR						**CDC Code :**									
AZGARD NINE LTD (LEGLER NAFEES DENIM)	17,880.00	11.9200	1,500	1,500	0	0	1,500	0	0	0	0	0	0	0	0
12	12,000.00	12.0000	1,000	1,000	0	0	1,000	0	0	0	0	0	0	0	0
PAKISTAN TELECOMMUNICATION COMPANY LTD.	19,400.00	19.4000	1,000	0	1,000	0	0	0	0	0	0	0	0	0	0
RAVI RAYON	5,000.00	10.0000	500	500	0	0	500	0	0	0	0	0	0	0	0
Sub Total	**54,280.00**		**4,000**	**3,000**	**1,000**	**0**	**3,000**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

Figure 16: Available shares client wise report

Softech Systems (Private) Limited

Available Shares Summary Security Wise

Reports > Custody > Available Shares > Available Shares Summary Client Wise

This report displays the quantity of all shares available in the custody. The list is grouped on securities and you can view the quantity of shares in custody irrespective of clients. In Parameter form you can filter the list on the basis of the date, the client and security.

Parameter Form:

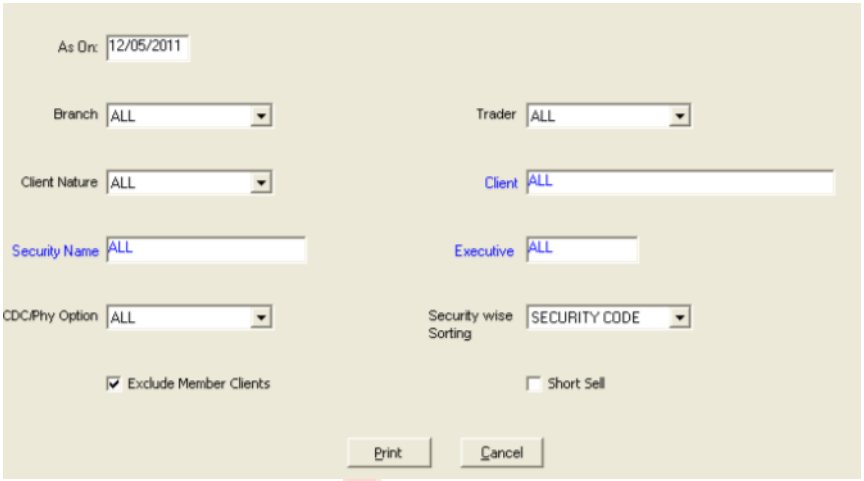


Figure 17: Available Shares Security Wise parameter form

Field	Function
As On	Enter date to filter report for that particular date
Branch	Select from drop down list, branch to filter report for that particular branch
Trader	Select from drop down list, trader to filter report for that particular trader
Client Nature	Select from drop down client nature (individual, join, institutional) to filter report for that particular client nature
Client	Select form LOV, client to filter report for that particular client
Security Name	Select form LOV, security to filter report for that particular security
Executive	Select form LOV, executive to filter executive clients
CDC Phy. option	Select CDC or physical to filter report for that particular group
Security Wise Sorting	**Security code**: select to sort report security cord wise **Security Name**: select to sort report security name wise
Exclude Member Clients	Check this box to exclude member clients
Short Sell	Check this box to include short sell transactions in report

Screenshot:

Softech Systems (Pvt) Ltd.

Available Shares - Security Wise

Page 1 of 7

As On : 12/05/2011
Security : ALL
Client : ALL
CDC/Phy Option : ALL

Branch : ALL
Trader : ALL

User Id: ADMIN
Date: 12/05/2011
Time: 03:22:17 PM

Client Name	CDC Code	Amount	Net	Phy Available	Cdc Available	Registered	Un Reg.	Bank Reg	Un Bank Reg	Borrow Kse	Transfer Phy	Transfer Cdc	Verifying	Pending	CDC Withdrawal
Security : 12	**12**							**12.0000**							
0SHOR - 0SHOR		12,000	1,000	1,000	0	0	1,000	0	0	0	0	0	0	0	0
Sub Total		**12,000**	**1,000**	**1,000**	**0**	**0**	**1,000**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Security : AAFS	**AL-ASIF SUGAR MILLS LTD.**							**10.0000**							
12000 - QE		5,000	500	500	0	0	500	0	0	0	0	0	0	0	0
Sub Total		**5,000**	**500**	**500**	**0**	**0**	**500**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**

Figure 18: Available Shares Security wise report

Softech Systems (Private) Limited

Available Shares House Detail:

Reports > Custody > Available Shares > Available Shares Summary Client Wise

This report gives available shares detail of house.

Parameter Form:

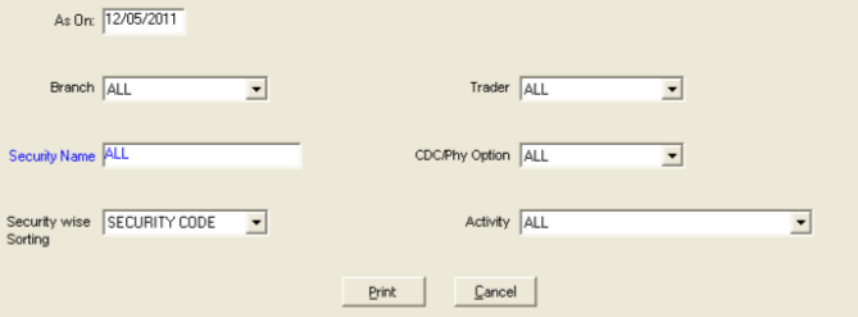


Figure 19: Available Shares House Detail parameter form

Field	Function
As On	Enter date to filter report for that particular date
Branch	Select from drop down list, branch to filter report for that particular branch
Trader	Select from drop down list, trader to filter report for that particular trader
Security Name	Select from LOV, security to filter report for that particular security
CDC Phy. Option	Select physical or CDC or both to filter report
Security Wise Sorting	Select sorting type either security code or security name
Activity	Select activity to filter report for that particular activity only

Screenshot:

Softech Systems (Private) Limited

Activity Reports

Custody Activity

Reports > Custody > Activity Reports > Custody Activity

This report shows all the custody activities grouped by clients. In Parameter form you can filter the list on the basis of date range (activity date), activity group, activity, client and security.

Parameter Form:

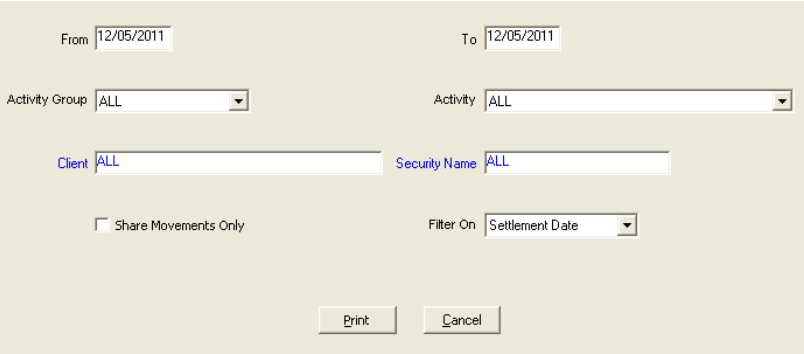


Figure 20: Custody Activity parameter form

Field	Function
From	Enter start date of range to filter report for that particular date range
To	Enter end date of range
Activity Group	Select activity group either CDC or physical or both to filter report for that particular type
Activity	Select activity from drop down list, to filter report for that particular activity
Client	Select from LOV, client to filter report for that particular client only
Security Name	Select form LOV, security to filter report for that particular security
Share Movements Only	Check this box to filter transaction that include shares movements
Filter On	**Settlement date**: date range filter will be applied on settlement date **Trade date**: date range filter will be applied on trade date

Screenshot:

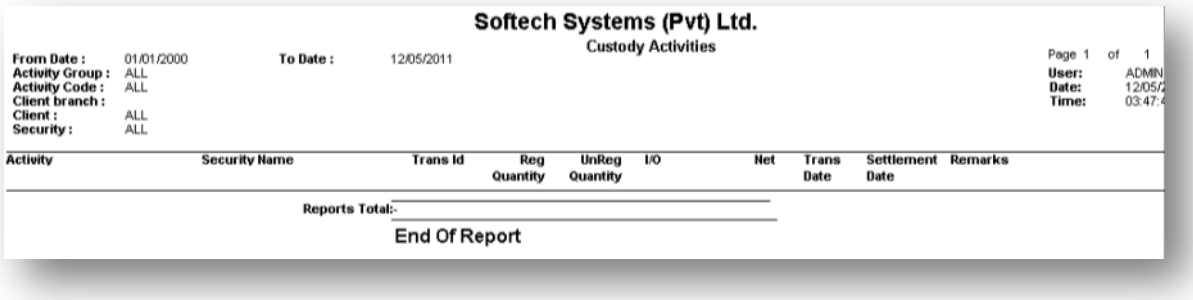


Figure 21: Custody activity report

Client Wise Transaction Ledger

Reports > Custody > Activity Reports > Client Wise Transaction Ledger

This report displays the transaction wise ledger of all the activities of clients. In Parameter form you can filter the list on the basis of date range.

Parameter Form:

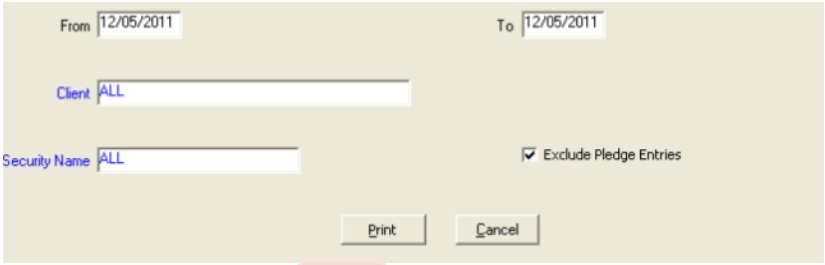
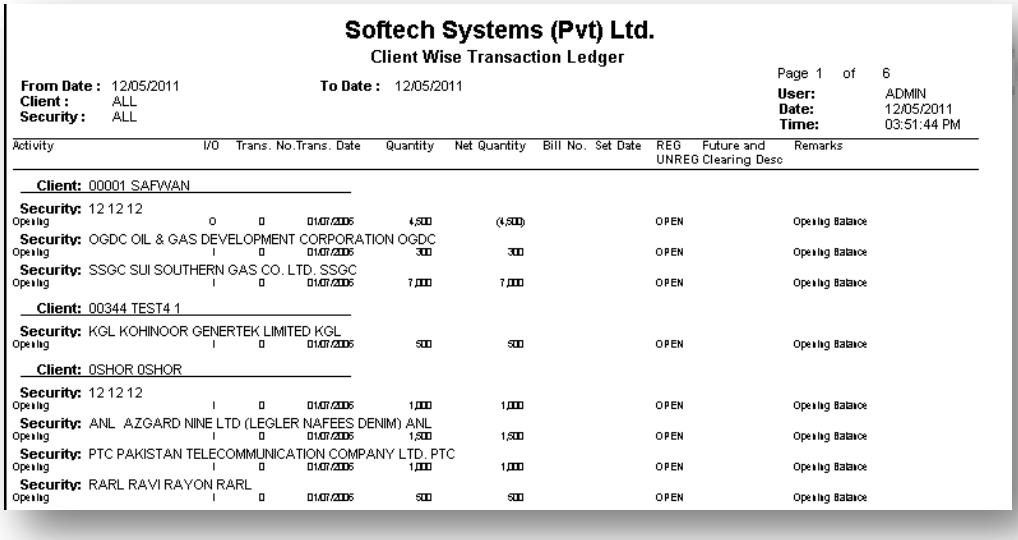


Figure 22: Client wise Transaction Ledger parameter form

Field	Function
From	Enter start date of range to filter report for that particular range
To	Enter end date of range
Client	Select from LOV, Client to filter report for that particular client only
Security Name	Select from LOV, security to filter report for that particular security only
Exclude Pledge Entries	Check this box to exclude pledge shares entries from report

Screenshot:



Softech Systems (Pvt) Ltd.

Client Wise Transaction Ledger

From Date : 12/05/2011 To Date : 12/05/2011 Page 1 of 6
Client : ALL User: ADMIN
Security : ALL Date: 12/05/2011
Time: 03:51:44 PM

Activity	I/O	Trans. No.	Trans. Date	Quantity	Net Quantity	Bill No.	Set Date	REG UNREG	Future and Clearing	Desc	Remarks
Client: 00001 SAFWAN											
Security: 12 12 12											
Opening	O	0	01/07/2005	4,500	(4,500)			OPEN			Opening Balance
Security: OGDC OIL & GAS DEVELOPMENT CORPORATION OGDC											
Opening	I	0	01/07/2005	300	300			OPEN			Opening Balance
Security: SSGC SUI SOUTHERN GAS CO. LTD. SSGC											
Opening	I	0	01/07/2005	7,000	7,000			OPEN			Opening Balance
Client: 00344 TEST4 1											
Security: KGL KOHINOOR GENERTEK LIMITED KGL											
Opening	I	0	01/07/2005	500	500			OPEN			Opening Balance
Client: 0SHOR 0SHOR											
Security: 12 12 12											
Opening	I	0	01/07/2005	1,000	1,000			OPEN			Opening Balance
Security: ANL AZGARD NINE LTD (LEGLER NAFEES DENIM) ANL											
Opening	I	0	01/07/2005	1,500	1,500			OPEN			Opening Balance
Security: PTC PAKISTAN TELECOMMUNICATION COMPANY LTD. PTC											
Opening	I	0	01/07/2005	1,000	1,000			OPEN			Opening Balance
Security: RARL RAVI RAYON RARL											
Opening	I	0	01/07/2005	500	500			OPEN			Opening Balance

Figure 23: client wise transaction ledger report

Shares Receipt Printing

Shares Receipt from Client (Folio Detail)

Reports > Custody > Shares Receipt Printing > Shares Receipt from Client (Folio Detail)

This report prints the receipt in CDC format for the physical shares received from client and to be delivered to CDC. In Parameter form you can select the transaction number of the activity, transaction date, client and security to print the receipt.

Parameter Form:

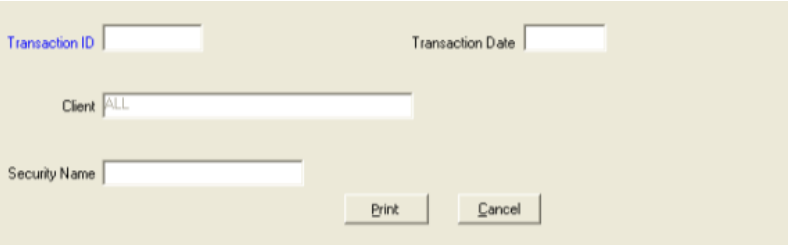
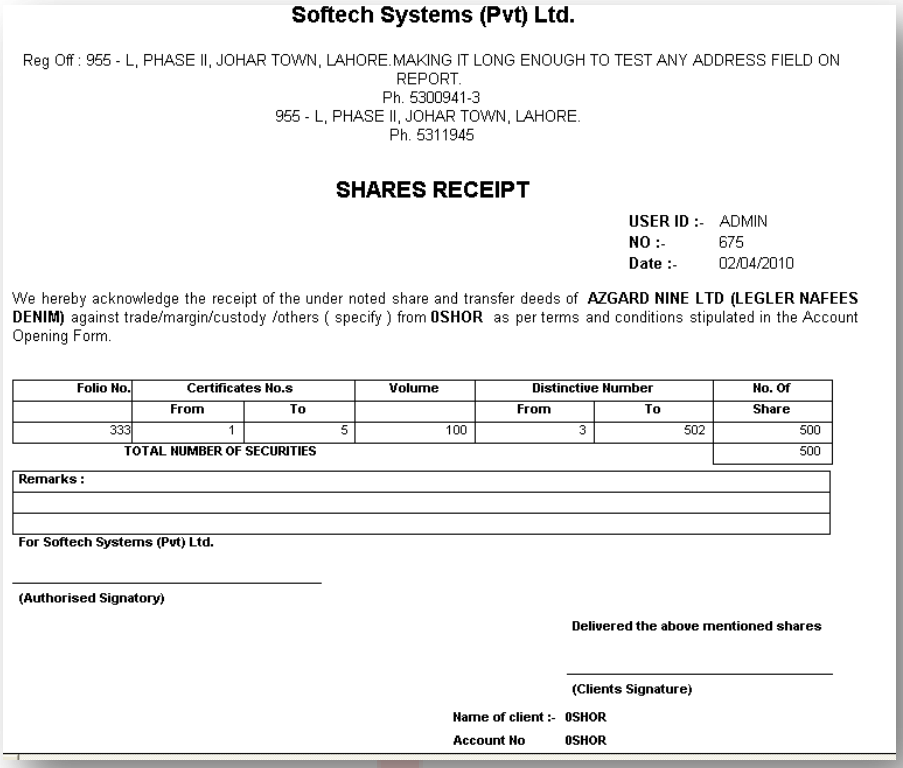


Figure 24: Shares Receipt from client parameter form

Field	Function
Transaction ID	Select from LOV, transaction id to print receipt of that particular transaction
Transaction Date	As transaction id is selected transaction date field will auto populate with date.
Client	As transaction id is selected client of transaction will auto populate in this field.
Security Name	As transaction id is selected its security will auto populate in this field

Screenshot:

Softech Systems (Private) Limited



Softech Systems (Pvt) Ltd.

Reg Off : 955 - L, PHASE II, JOHAR TOWN, LAHORE.MAKING IT LONG ENOUGH TO TEST ANY ADDRESS FIELD ON REPORT.
Ph. 5300941-3
955 - L, PHASE II, JOHAR TOWN, LAHORE.
Ph. 5311945

SHARES RECEIPT

USER ID :- ADMIN
NO :- 675
Date :- 02/04/2010

We hereby acknowledge the receipt of the under noted share and transfer deeds of **AZGARD NINE LTD (LEGLER NAFEES DENIM)** against trade/margin/custody /others (specify) from **0SHOR** as per terms and conditions stipulated in the Account Opening Form.

Folio No.	Certificates No.s		Volume	Distinctive Number		No. Of
	From	To		From	To	Share
333	1	5	100	3	502	500
TOTAL NUMBER OF SECURITIES						500

Remarks :

For Softech Systems (Pvt) Ltd.

(Authorised Signatory)

Delivered the above mentioned shares

(Clients Signature)

Name of client :- 0SHOR
Account No 0SHOR

Figure 25: Shares receipt from client report

Shares Delivered to Client (Folio Detail)

Reports > Custody > Shares Receipt Printing > Shares Delivered to Client (Folio Detail)

This report prints the receipt in CDC format for the physical shares delivered to client. In parameter form you can select the transaction number of the activity, transaction date, client and security to print the receipt.

Parameter Form:

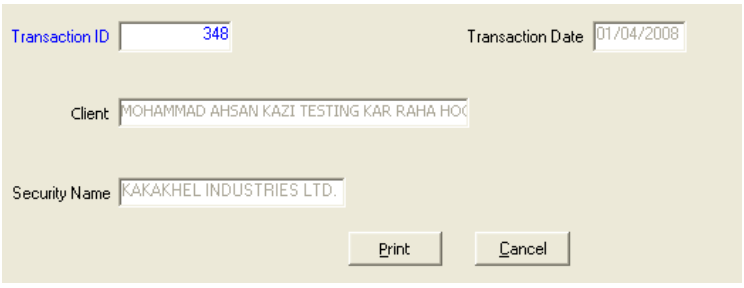


Figure 26: Shares delivered to client parameter from

Field	Function
Transaction ID	Select from LOV, transaction id to print receipt for that particular transaction
Transaction Date	As transaction id is selected this field will be populated with transaction date
Client	As transaction id is selected client will auto populate in this field

Security Name	As transaction id is selected security name will auto populate in this field

Screenshot:

Softech Systems (Private) Limited

Shares Receipt from Client to GSD

Reports > Custody > Shares Receipt Printing > Shares Receipt from Client to GSD

This report prints the receipt in CDC format for the CDC shares received from client and to be delivered to CDC. In Parameter form you can select the transaction number of the activity, transaction date, client and security to print the receipt.

Parameter Form:

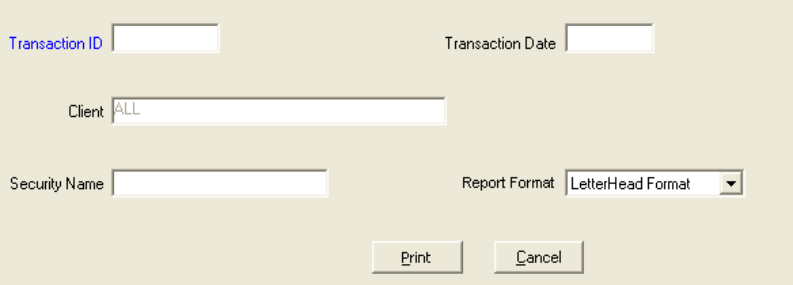


Figure 27: Shares Receipt form Client to GSD parameter form

Field	Function
Transaction ID	Select from LOV, transaction ID to print its receipt
Transaction Date	As transaction id is selected this field will populate with transaction date
Client	As transaction id is selected this field will populate with client
Security Name	As transaction id is selected this field will populate with security name
Report Format	Select from LOV, receipt format to be printed.

Screenshot:

Softech Systems (Private) Limited

CENTRAL DEPOSITORY COMPANY OF PAKISTAN LTD.

Date:

SECURITIES DEPOSIT FORM

Transaction Code: _____

Participant Account #

Security I.D. ANL (ANL)

Sub-Account #

Registrar / Transfer Agent I.D.

Participant Name: Softech Systems (Pvt) Ltd.

Security Name: AZGARD NINE LTD (LEGLER NAFEES DENIM)

Customer Name: 0SHOR

Registrar Name:

AZGARD NINE LTD (LEGLER NAFEES DENIM)

Client 0SHOR

Folio No.	Certificate No's From	Certificate No's TO	Distinctive Numbers From	Distinctive Numbers TO	No . of Securities	Registrar/T.A.use Accepted	Registrar/T.A.use Rejected	Registrar/T.A.use Certs Can
333	1	5	3	502	500			

TOTAL NUMBER OF SECURITIES 500

REMARKS

I hereby declare that i am the legal owner of the shares being deposited into the CDC through this form and i further declare that all the details supplied by me which appear on this form are correct to the best of my knowledge and belief

Legal Owner :- **Name** _____ **Signature** _____

CDC reseves the right to revese deposit entries if the above information completed is incorrect or if registration of any or all the shares are subsequently recjected by the relevant Registrar/T.A.

Participant Use :-

Received form _____

Received by _____

Posted By _____

Attachments : Share Certificates-Quantity _____

Participant Authorised Signature

Name: Softech Systems (Pvt) Ltd.

Signatures _____

with transfer deeds

Figure 28: Shares Receipt form Client to GSD report

Shares Receive Receipt Printing

Reports > Custody > Shares Receipt Printing > Shares Receive Receipt Printing

This reports prints shares receive receipt

Parameter Form:

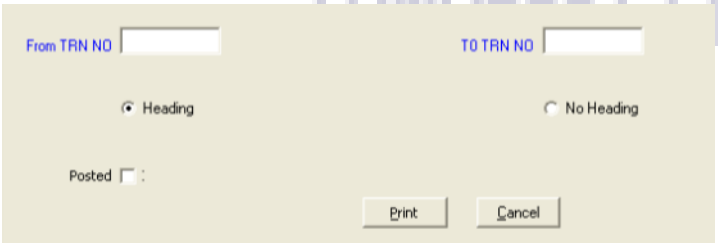
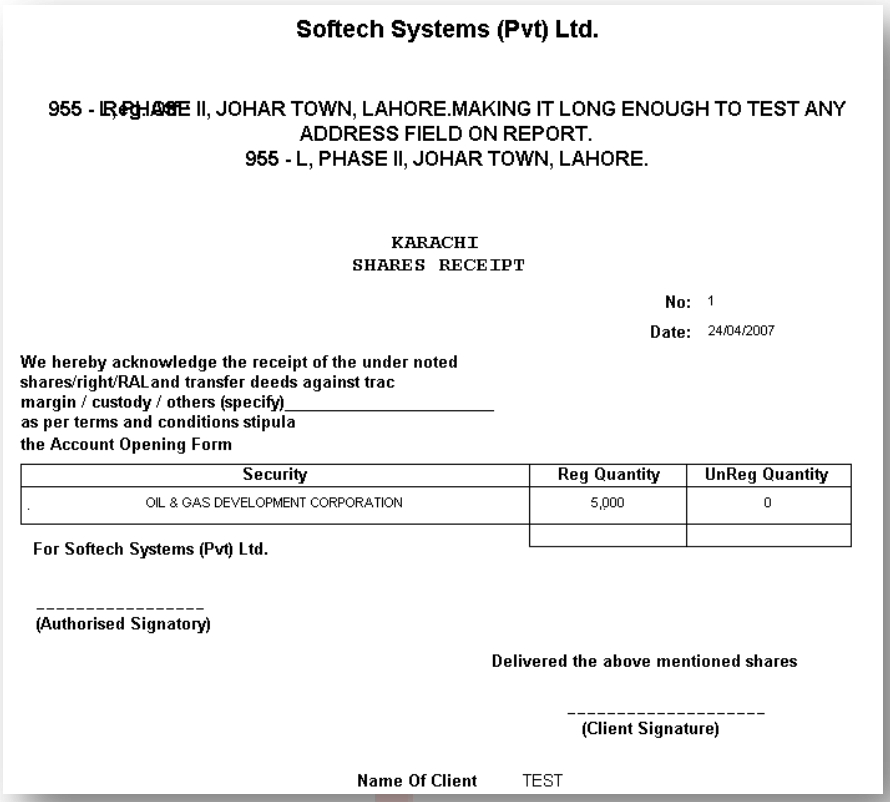


Figure 29: shares receive receipt parameter form

Field	Function
From TRN No	Select for LOV, starting TRN no. of range
To TRN No	Select from LOV, end TRN no. of range to be filtered
Heading	Select to print heading on the report
No heading	Select to print without heading
Posted	Check to print only posted records

Screenshot:



Softech Systems (Pvt) Ltd.

955 - L, PHASE II, JOHAR TOWN, LAHORE.MAKING IT LONG ENOUGH TO TEST ANY ADDRESS FIELD ON REPORT.
955 - L, PHASE II, JOHAR TOWN, LAHORE.

KARACHI
SHARES RECEIPT

No: 1
Date: 24/04/2007

We hereby acknowledge the receipt of the under noted shares/right/RAL and transfer deeds against trac margin / custody / others (specify)_____ as per terms and conditions stipula the Account Opening Form

Security	Reg Quantity	UnReg Quantity
OIL & GAS DEVELOPMENT CORPORATION	5,000	0

For Softech Systems (Pvt) Ltd.

_____
(Authorised Signatory)

Delivered the above mentioned shares

_____
(Client Signature)

Name Of Client TEST

Figure 30: Shares Receive Receipt Report

Shares Receive Printing

Reports > Custody > Shares Receipt Printing > Shares Receive Printing

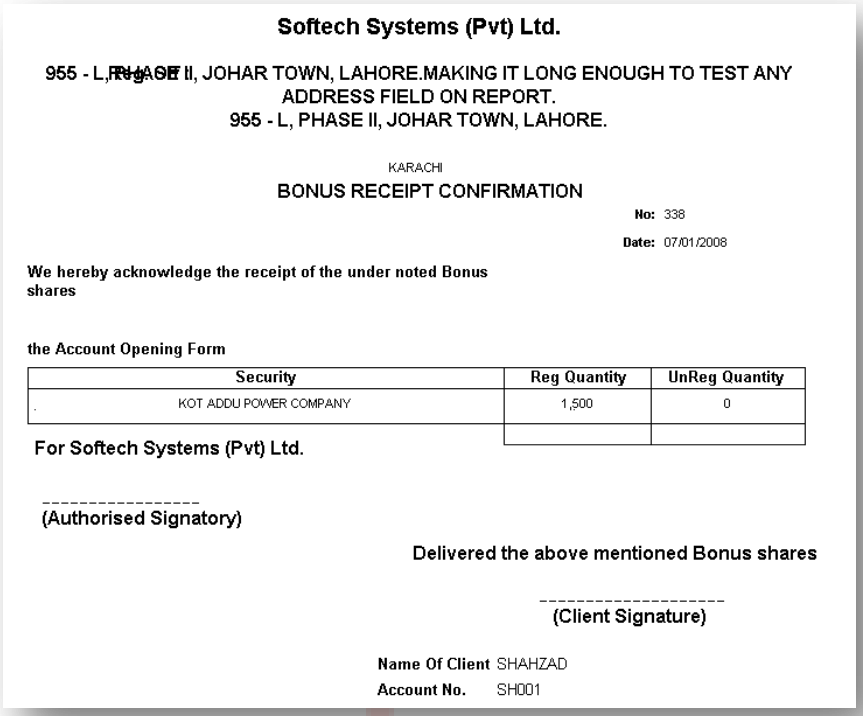
This report is used

Parameter Form:

Figure 31: Shares Receive printing parameter from

Field	Function
From TRN No	Select for LOV, starting TRN no. of range
To TRN No	Select from LOV, end TRN no. of range to be filtered
Heading	Select to print heading on the report
No heading	Select to print without heading

Screenshot:



Softech Systems (Pvt) Ltd.

955 - L, PHASE II, JOHAR TOWN, LAHORE.MAKING IT LONG ENOUGH TO TEST ANY ADDRESS FIELD ON REPORT.
955 - L, PHASE II, JOHAR TOWN, LAHORE.

KARACHI

BONUS RECEIPT CONFIRMATION

No: 338

Date: 07/01/2008

We hereby acknowledge the receipt of the under noted Bonus shares

the Account Opening Form

Security	Reg Quantity	UnReg Quantity
KOT ADDU POWER COMPANY	1,500	0

For Softech Systems (Pvt) Ltd.

(Authorised Signatory)

Delivered the above mentioned Bonus shares

(Client Signature)

Name Of Client SHAHZAD

Account No. SH001

Figure 32: Shares Receive Printing Report

Custody Holding Value

Custody holding summary

Reports > Custody > Custody Holding Value > Custody Holding Summary

This report displays the custody holding value of each client. In Parameter form you can either select all clients or range of clients to view their summarized custody holding value on a specific date.

Parameter Form:

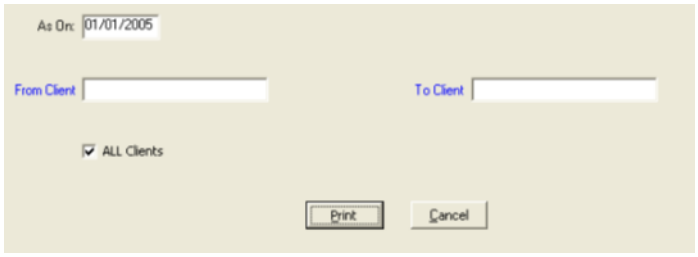
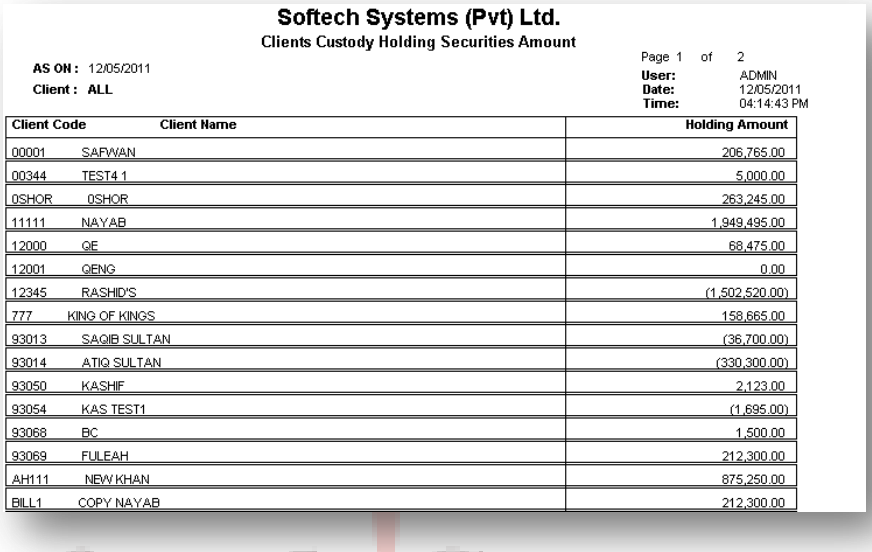


Figure 33: Custody Holding Summary parameter form

Field	Function
As On	Enter date to see custody value on that particular date
From Client	Select form LOV, starting client range
To Client	Select from LOV, ending client range
All Clients	Check to print all clients rather than range.

Screenshot:



Softech Systems (Pvt) Ltd.
Clients Custody Holding Securities Amount

AS ON : 12/05/2011
Client : ALL

Page 1 of 2
User: ADMIN
Date: 12/05/2011
Time: 04:14:43 PM

Client Code	Client Name	Holding Amount
00001	SAFWAN	206,765.00
00344	TEST4 1	5,000.00
0SHOR	0SHOR	263,245.00
11111	NAYAB	1,949,495.00
12000	QE	68,475.00
12001	QENG	0.00
12345	RASHID'S	(1,502,520.00)
777	KING OF KINGS	158,665.00
93013	SAQIB SULTAN	(36,700.00)
93014	ATIQ SULTAN	(330,300.00)
93050	KASHIF	2,123.00
93054	KAS TEST1	(1,695.00)
93068	BC	1,500.00
93069	FULEAH	212,300.00
AH111	NEW KHAN	875,250.00
BILL1	COPY NAYAB	212,300.00

Softech Systems (Private) Limited

Figure 34: Custody holding summary Report

Field	Function

Custody Cash Book Margin summary

Reports > Custody > Custody Holding Value > Custody Cash Book Margin Summary

This report displays the custody amount and custody cash book amount of each client. In Parameter form you can select all clients or range of clients, and the date range. Only those clients will appear in the report that had some activity during that specified period.

Parameter Form:

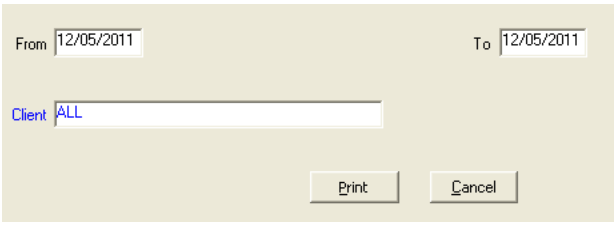
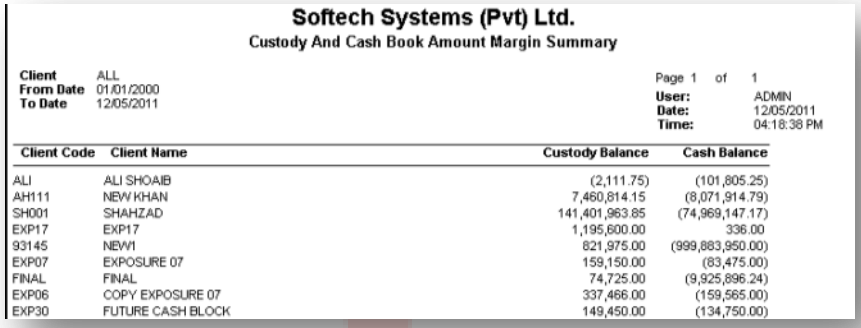


Figure 35: Custody Cash Book Margin Summary

Field	Function
From	Enter start date of range
To	Enter end date of range to print report of selected date range
Client	Select from LOV client to print report for that particular client or select ALL to print report for all clients.

Screenshot:



Softech Systems (Pvt) Ltd.

Custody And Cash Book Amount Margin Summary

Client ALL
From Date 01/01/2000
To Date 12/05/2011

Page 1 of 1
User: ADMIN
Date: 12/05/2011
Time: 04:18:38 PM

Client Code	Client Name	Custody Balance	Cash Balance
ALI	ALI SHOAIB	(2,111.75)	(101,805.25)
AH111	NEW KHAN	7,460,814.15	(8,071,914.79)
SH001	SHAHZAD	141,401,963.85	(74,969,147.17)
EXP17	EXP17	1,195,600.00	336.00
93145	NEW1	821,975.00	(999,883,950.00)
EXP07	EXPOSURE 07	159,150.00	(83,475.00)
FINAL	FINAL	74,725.00	(9,925,896.24)
EXP06	COPY EXPOSURE 07	337,466.00	(159,565.00)
EXP30	FUTURE CASH BLOCK	149,450.00	(134,750.00)

Figure 36: Custody Cash Book Margin Summary Report

Softech Systems (Private) Limited

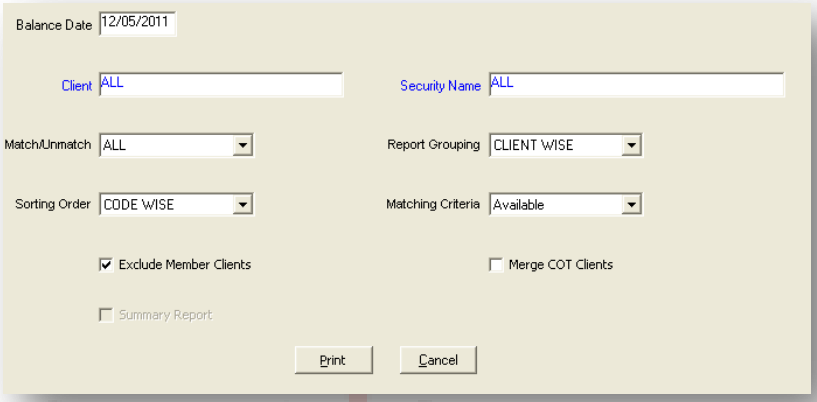
Depository Reports

Depository Balance Comparison

Reports > Custody > Depository Reports > Depository Balance Comparison

Through this report you can match the custody positions in your house and in CDC. You can either view all positions, matching positions or non-matching positions. In Parameter form you can select the balance date, single or all clients, security, matching type, sorting order and report grouping type.

Parameter Form:



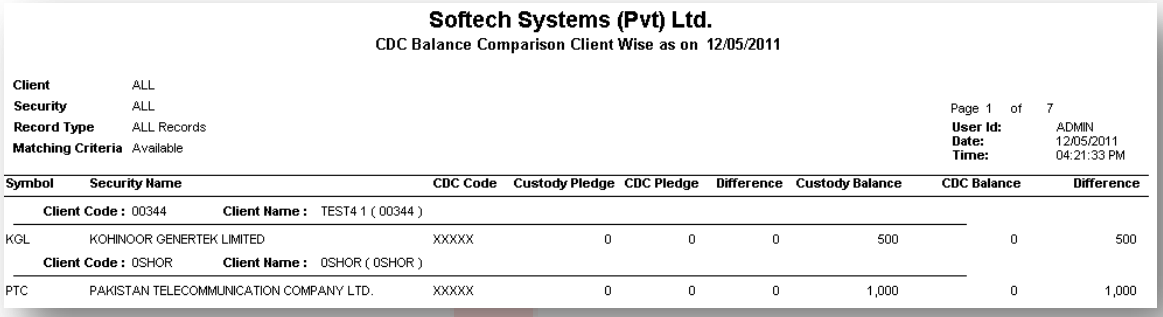
Softech Systems (Private) Limited

Figure 37: Depository Balance Comparison parameter form

Field	Function
Balance Date	Enter balance date to compare balances of that particular date
Client	Select from LOV, client to filter balances report for that particular client
Security Name	Select from LOV, security to filter balances report for that particular security
Match/Un-match	Select ALL or Match or Un-Match to filter reports ALL: it will print both match and un-match between CDC and company records Match: only records that match will be printed Un-Match: only records that could not be matched will be printed
Report Grouping	Select appropriate report grouping Client wise: report will be grouped by client wise Security wise: report will be grouped by security wise
Sorting Order	Select appropriate sorting order type to sort the report Code: report will be sorted as per code which could be either client code or security code depending upon report grouping selection Name: report will be sorted as per name depending upon report grouping
Matching Criteria	Both: both position owned and available will be selected Position owned: only position owned will be filtered Available: only available will be filtered

Exclude Member Clients	Check this box to exclude member clients from report
Merge COT Clients	Check this box to merge COT clients
Summary Report	Check this box to get summary report

Screenshot:



Softech Systems (Pvt) Ltd.
CDC Balance Comparison Client Wise as on 12/05/2011

Client ALL
Security ALL
Record Type ALL Records
Matching Criteria Available

Page 1 of 7
User Id: ADMIN
Date: 12/05/2011
Time: 04:21:33 PM

Symbol	Security Name	CDC Code	Custody Pledge	CDC Pledge	Difference	Custody Balance	CDC Balance	Difference
Client Code : 00344	**Client Name :** TEST4 1 (00344)							
KGL	KOHINOOR GENERTEK LIMITED	XXXXX	0	0	0	500	0	500
Client Code : 0SHOR	**Client Name :** 0SHOR (0SHOR)							
PTC	PAKISTAN TELECOMMUNICATION COMPANY LTD.	XXXXX	0	0	0	1,000	0	1,000

Figure 38: Depository comparison balance report

Softech Systems (Private) Limited

Custody Net Shares Client Wise

Reports > Custody > Custody net shares client wise

This report displays the detailed custody position of a single client for all or single security on a particular day. In Parameter form you can select client, single or all securities and the date.

Parameter Form:

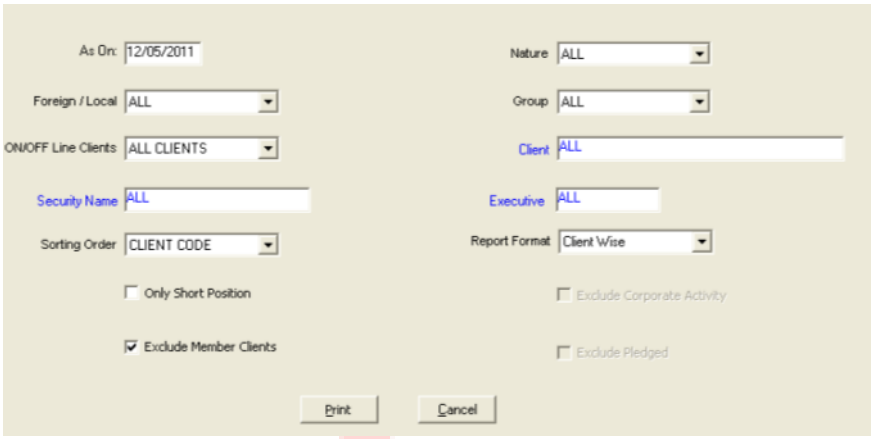


Figure 39: Custody Net Shares Client wise

Field	Function
As On	Enter date to see net custody position for that particular date
Nature	Select client nature to filter report for that particular nature of clients
Foreign/Local	**Foreign**: only foreign clients will filtered **Local**: only local clients will be filtered
Group	Select for drop down list, particular group to filter report for that particular group
On/OFF Line Clients	Select to print either online or offline or both clients
Client	Select form LOV, client to filter report for that particular client only
Security Name	Select from LOV, security to filter report for that particular security
Executive	Select from LOV, executive to filter report for that particular executives
Sorting Order	**Client code**: report will be sorted by client code wise **Client Name**: report will be sorted by client name wise **CDC Code**: report will be sorted CDC code wise
Report Format	**Client wise**: report will be grouped by client wise **Security Wise**: report will be grouped by security wise
Only short position	Check this box to filter report of only short position clients
Exclude corporate activity	Check this box to exclude corporate activity form report
Exclude member clients	Check this box to exclude member clients form report
Exclude pledged	Check this box to exclude pledged records

Screenshot:

Softech Systems (Pvt) Ltd.

National Tax Number: 00000000-0

Custody Net Shares - Client Wise

Page 1 of 27

As On : 12/05/2011 **Client Position:** ALL
Client : ALL
Security : ALL
On/Off Line Client : ALL
Client Group : ALL

User Id: ADMIN
Date: 12/05/2011
Time: 04:24:04 PM

Security Name	Custody Balance	Phy Tradeable	Cdc Tradeable	Registered	Un Registered	Bank Pledge	Corp. Act.	Regular	Spot	Forward	Net	Closing Rate	Amount
Client: 00002 COPY UZMA AZIZ			CDC Code:										
WAPDA10TH - WAPDA BOND 10TH ISSUE	0	0	0	0	0	0	0	20,000	0	0	20,000	5,000.0000	100,000,000
Client Total :	0	0	0	0	0	0	0	20,000	0	0	20,000		100,000,000
Client: 00010 TEST1			CDC Code:										
AAPL - APPLE INC	0	0	0	0	0	0	0	0	0	(500)	0	346.5700	0.00
ANL - AZGARD NINE LTD (LEGLER NAFEES DENIM	0	0	0	0	0	0	0	(500)	0	(500)	(500)	11.9200	(5,960.00)
DAAG - DATA AGRO	0	0	0	0	0	0	0	0	500	0	500	0.4000	200.00
GHAN - GHANI TEXTILE	0	0	0	0	0	0	0	0	(700)	0	(700)	10.0000	(7,000.00)
KACM - KARIM COTTON	0	0	0	0	0	0	0	(500)	0	(500)	(500)	16.0000	(8,000.00)
LAKST - LAKSON TOBACCO COMPANY LIMITED	0	0	0	0	0	0	0	0	600	0	600	196.9200	118,152.00
LUCK - LUCKY CEMENT	0	0	0	0	0	0	0	500	0	0	500	68.6400	34,320.00
OGDC - OIL & GAS DEVELOPMENT CORPORATION	0	0	0	0	0	0	0	(500)	(1,000)	0	(1,500)	149.4500	(224,175.00
PSO - PAKISTAN STATE OIL COMPANY LIMITED	0	0	0	0	0	0	0	500	0	0	500	279.3500	139,675.00
PTC - PAKISTAN TELECOMMUNICATION COMPANY	0	0	0	0	0	0	0	(500)	0	0	(500)	19.4000	(9,700.00)
Client Total :	0	0	0	0	0	0	0	(1,000)	(500)	(1,500)	(1,500)		37,512.00

Figure 40: Custody net shares report

Softech Systems (Private) Limited

Closing Rate Check List

Reports > Custody > Closing Rate Check List

This report displays the closing rates of each day of different securities. The list is grouped on securities. In Parameter form you can filter the list on the basis of date range, sector and security.

Parameter Form:

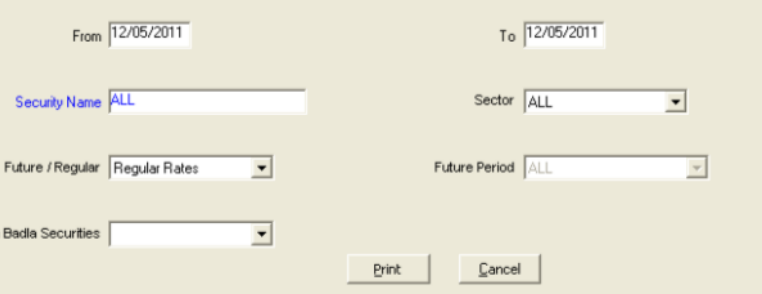
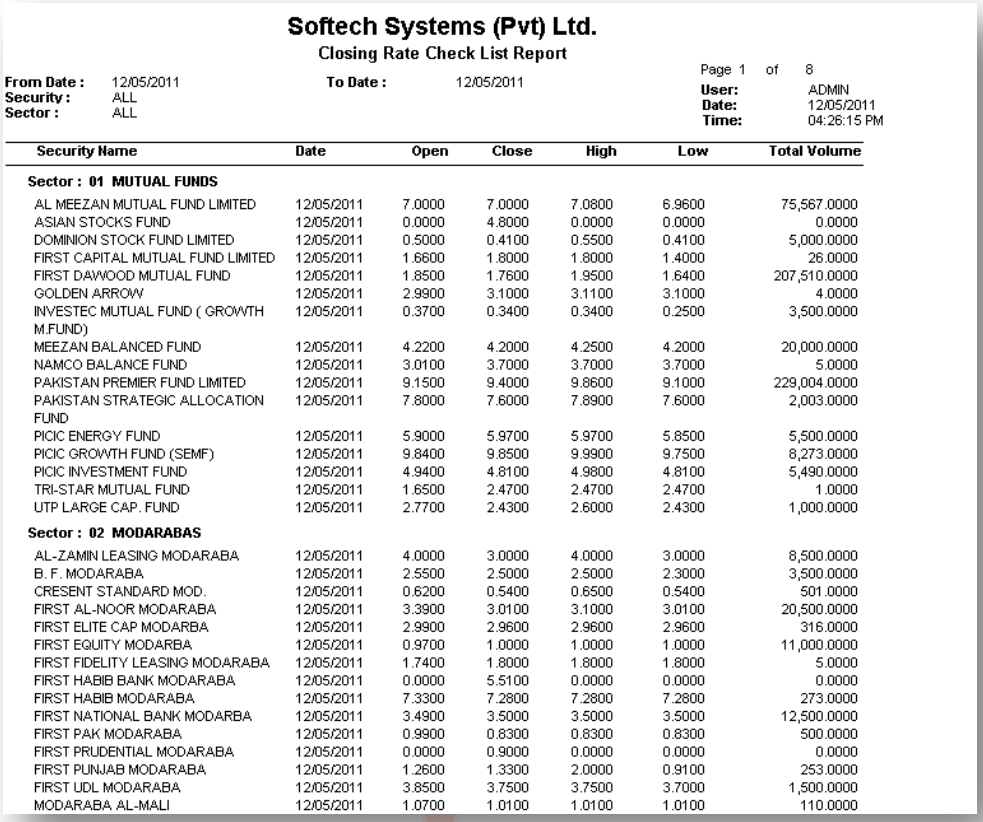


Figure 41: Closing Rate check List

Field	Function
From	Enter starting date of date range
To	Enter ending date of date range
Security Name	Select from LOV, security to filter report for that particular security
Sector	Select from drop down list, sector to filter report for that particular sector
Future /Regular	Select future or regular rates to filter report accordingly
Future period	Select future period to filter report for that particular future period
Badla securities	**ALL**: it will filter both COT and Non COT badla securities **COT**: it will filter only COT badla securities **Non COT**: it will filter only non COT badla securities.

Screenshot:

Softech Systems (Private) Limited



Softech Systems (Pvt) Ltd.

Closing Rate Check List Report

Page 1 of 8

From Date : 12/05/2011 To Date : 12/05/2011 User: ADMIN
Security : ALL Date: 12/05/2011
Sector : ALL Time: 04:26:15 PM

Security Name	Date	Open	Close	High	Low	Total Volume
Sector : 01 MUTUAL FUNDS						
AL MEEZAN MUTUAL FUND LIMITED	12/05/2011	7.0000	7.0000	7.0800	6.9600	75,567.0000
ASIAN STOCKS FUND	12/05/2011	0.0000	4.8000	0.0000	0.0000	0.0000
DOMINION STOCK FUND LIMITED	12/05/2011	0.5000	0.4100	0.5500	0.4100	5,000.0000
FIRST CAPITAL MUTUAL FUND LIMITED	12/05/2011	1.6600	1.8000	1.8000	1.4000	26.0000
FIRST DAWOOD MUTUAL FUND	12/05/2011	1.8500	1.7600	1.9500	1.6400	207,510.0000
GOLDEN ARROW	12/05/2011	2.9900	3.1000	3.1100	3.1000	4.0000
INVESTEC MUTUAL FUND (GROWTH M.FUND)	12/05/2011	0.3700	0.3400	0.3400	0.2500	3,500.0000
MEEZAN BALANCED FUND	12/05/2011	4.2200	4.2000	4.2500	4.2000	20,000.0000
NAMCO BALANCE FUND	12/05/2011	3.0100	3.7000	3.7000	3.7000	5.0000
PAKISTAN PREMIER FUND LIMITED	12/05/2011	9.1500	9.4000	9.8600	9.1000	229,004.0000
PAKISTAN STRATEGIC ALLOCATION FUND	12/05/2011	7.8000	7.6000	7.8900	7.6000	2,003.0000
PICIC ENERGY FUND	12/05/2011	5.9000	5.9700	5.9700	5.8500	5,500.0000
PICIC GROWTH FUND (SEMF)	12/05/2011	9.8400	9.8500	9.9900	9.7500	8,273.0000
PICIC INVESTMENT FUND	12/05/2011	4.9400	4.8100	4.9800	4.8100	5,490.0000
TRI-STAR MUTUAL FUND	12/05/2011	1.6500	2.4700	2.4700	2.4700	1.0000
UTP LARGE CAP. FUND	12/05/2011	2.7700	2.4300	2.6000	2.4300	1,000.0000
Sector : 02 MODARABAS						
AL-ZAMIN LEASING MODARABA	12/05/2011	4.0000	3.0000	4.0000	3.0000	8,500.0000
B. F. MODARABA	12/05/2011	2.5500	2.5000	2.5000	2.3000	3,500.0000
CRESENT STANDARD MOD.	12/05/2011	0.6200	0.5400	0.6500	0.5400	501.0000
FIRST AL-NOOR MODARABA	12/05/2011	3.3900	3.0100	3.1000	3.0100	20,500.0000
FIRST ELITE CAP MODARBA	12/05/2011	2.9900	2.9600	2.9600	2.9600	316.0000
FIRST EQUITY MODARBA	12/05/2011	0.9700	1.0000	1.0000	1.0000	11,000.0000
FIRST FIDELITY LEASING MODARABA	12/05/2011	1.7400	1.8000	1.8000	1.8000	5.0000
FIRST HABIB BANK MODARABA	12/05/2011	0.0000	5.5100	0.0000	0.0000	0.0000
FIRST HABIB MODARABA	12/05/2011	7.3300	7.2800	7.2800	7.2800	273.0000
FIRST NATIONAL BANK MODARBA	12/05/2011	3.4900	3.5000	3.5000	3.5000	12,500.0000
FIRST PAK MODARABA	12/05/2011	0.9900	0.8300	0.8300	0.8300	500.0000
FIRST PRUDENTIAL MODARABA	12/05/2011	0.0000	0.9000	0.0000	0.0000	0.0000
FIRST PUNJAB MODARABA	12/05/2011	1.2600	1.3300	2.0000	0.9100	253.0000
FIRST UDL MODARABA	12/05/2011	3.8500	3.7500	3.7500	3.7000	1,500.0000
MODARABA AL-MALI	12/05/2011	1.0700	1.0100	1.0100	1.0100	110.0000

Figure 42: closing rate check list report

Softech Systems (Private) Limited

Custody Dividend Client Wise

Reports > Custody > Custody Dividend Client Wise

This report displays the dividend received against the announcements. The data in this report is grouped on clients and you can view the clients who have received the dividend. In Parameter form you can filter the data on the basis of date range, client and security.

Parameter Form:

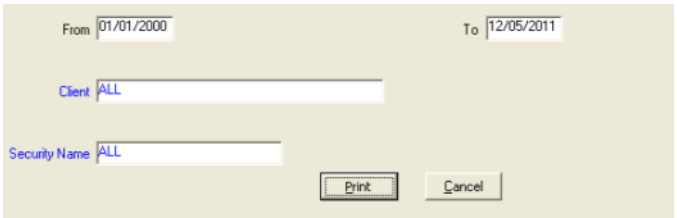
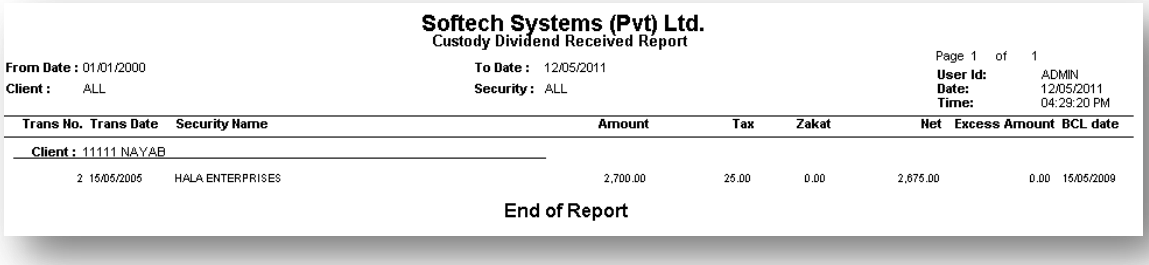


Figure 43: Custody dividend client wise parameter form

Field	Function
From	Enter start date of range to filter report for that particular date range
To	Enter end date of range

Client	Select from LOV, client to filter report for that particular client
Security Name	Select from LOV, security to filter report for that particular security

Screenshot:



Softech Systems (Pvt) Ltd.
Custody Dividend Received Report

Page 1 of 1

From Date : 01/01/2000 To Date : 12/05/2011
Client : ALL Security : ALL
User Id: ADMIN
Date: 12/05/2011
Time: 04:29:20 PM

Trans No.	Trans Date	Security Name	Amount	Tax	Zakat	Net	Excess Amount	BCL date
Client : 11111 NAYAB								
2	15/05/2005	HALA ENTERPRISES	2,700.00	25.00	0.00	2,675.00	0.00	15/05/2009

End of Report

Figure 44: Custody dividend client wise report

Softech Systems (Private) Limited

Custody Dividend Security Wise

Reports > Custody > Custody Dividend Security Wise

This report displays the dividend received against the announcements. The data in this report is grouped on securities and you can view the securities against which the dividend is received. In Parameter form you can filter the data on the basis of date range, client and security.

Parameter Form:

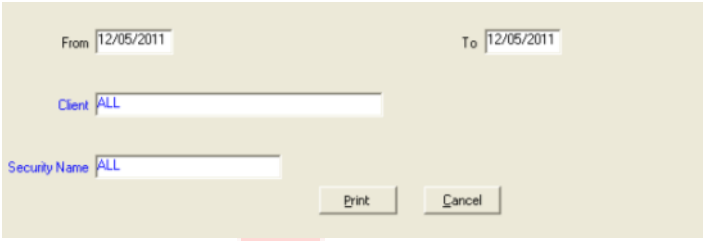
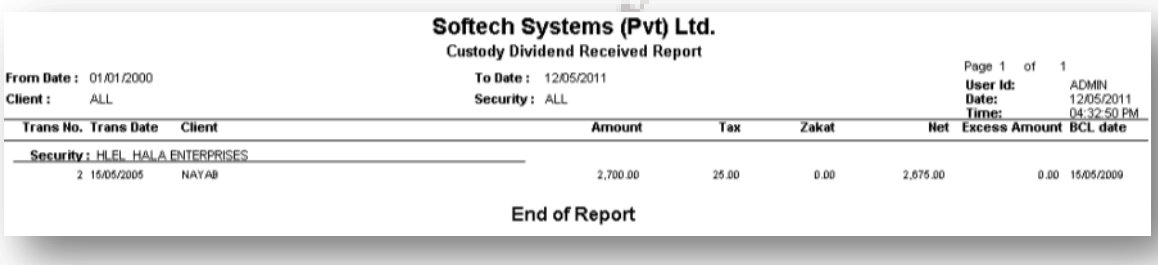


Figure 45: Custody dividend security wise

Field	Function
From	Enter start date of range to filter report for that particular date range
To	Enter end date of range
Client	Select from LOV, client to filter report for that particular client only
Security Name	Select form LOV, security to filter report for that particular security only

Softech Systems

Screenshot:



Softech Systems (Pvt) Ltd.

Custody Dividend Received Report

From Date : 01/01/2000 To Date : 12/05/2011 Page 1 of 1

Client : ALL Security : ALL User Id: ADMIN

Date: 12/05/2011

Time: 04:32:50 PM

Trans No.	Trans Date	Client	Amount	Tax	Zakat	Net	Excess Amount	BCL date
Security : HLEL HALA ENTERPRISES								
2	15/05/2005	NAYAB	2,700.00	25.00	0.00	2,675.00	0.00	15/05/2009

End of Report

Figure 46: Custody dividend security wise report

Branch Wise Availability

Reports > Custody > Branch Wise Availability

This report displays the quantity of all shares available in the custody of the clients belonging to a specific branch. The list is grouped on branch, security and then clients. In Parameter form you can filter the list on the basis of the date and branch.

Parameter Form:

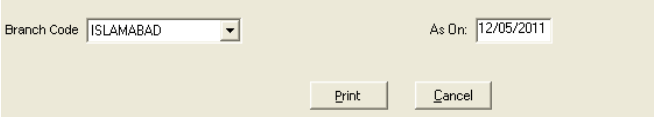
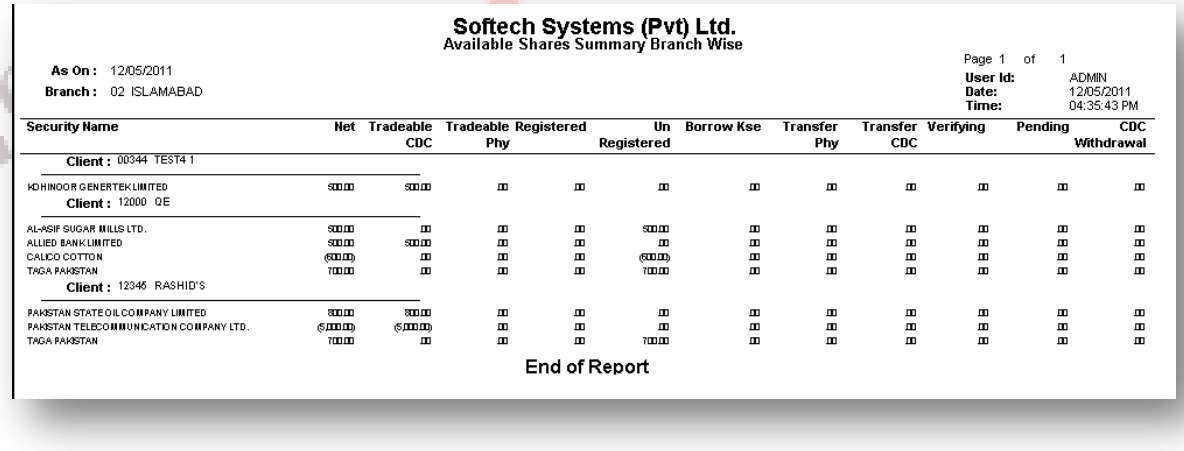


Figure 47: Branch Wise Availability Parameter Form

Field	Function
Branch Code	Select from drop down list, branch to filter report for that particular branch
As On	Enter date to filter report for that particular date

Screenshot:



Softech Systems (Pvt) Ltd.
Available Shares Summary Branch Wise

As On : 12/05/2011
Branch : 02 ISLAMABAD

Page 1 of 1
User Id: ADMIN
Date: 12/05/2011
Time: 04:35:43 PM

Security Name	Net	Tradeable CDC	Tradeable Phy	Registered	Un Registered	Borrow Kse	Transfer Phy	Transfer CDC	Verifying	Pending	CDC Withdrawal
Client : 00344 TEST4 1											
KOHINOOR GENERTEK LIMITED	500.00	500.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
Client : 12000 QE											
AL-ASIF SUGAR MILLS LTD.	500.00	.00	.00	.00	500.00	.00	.00	.00	.00	.00	.00
ALLIED BANK LIMITED	500.00	500.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
CALICO COTTON	(600.00)	.00	.00	.00	(600.00)	.00	.00	.00	.00	.00	.00
TAGA PAKISTAN	700.00	.00	.00	.00	700.00	.00	.00	.00	.00	.00	.00
Client : 12345 RASHID'S											
PAKISTAN STATE OIL COMPANY LIMITED	800.00	800.00	.00	.00	.00	.00	.00	.00	.00	.00	.00
PAKISTAN TELECOMMUNICATION COMPANY LTD.	(5,000.00)	(5,000.00)	.00	.00	.00	.00	.00	.00	.00	.00	.00
TAGA PAKISTAN	700.00	.00	.00	.00	700.00	.00	.00	.00	.00	.00	.00

End of Report

Figure 48: Branch Wise Availability Report

Custody Share Valuation

Reports > Custody > Custody Share Valuation

This report calculates the custody value based on the closing price of the selected date. The data in the report is grouped on clients. In Parameter form you can filter the list on the basis of client and can mention the date to pick the closing rates.

Parameter Form:

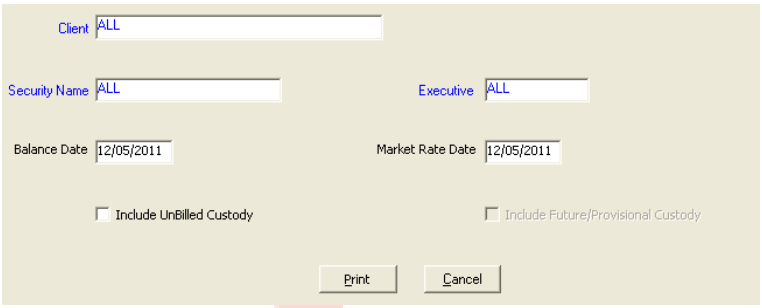
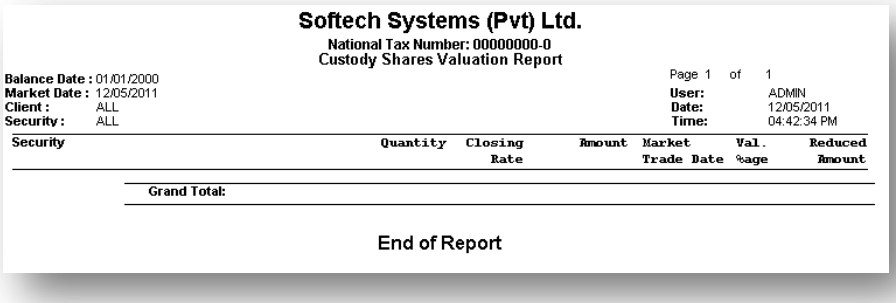


Figure 49: Custody Share Valuation parameter from

Field	Function
Client	Select from LOV, client to filter report for that particular client
Security Name	Select from LOV, security to filter report for that particular security
Executive	Select from LOV, executive to filter report for that particular executive
Balance Date	Enter balance date to filter report for that particular balance date
Market Rate Date	Enter market rate to filter report for that particular market rate date
Include un Billed Custody	Check to include un billed custody in the report
Include future /provisional custody	Check this box to include future or provisional custody

Screenshot:


Softech Systems (Pvt) Ltd.
National Tax Number: 00000000-0
Custody Shares Valuation Report

Balance Date : 01/01/2000
Market Date : 12/05/2011
Client : ALL
Security : ALL

Page 1 of 1
User: ADMIN
Date: 12/05/2011
Time: 04:42:34 PM

Security	Quantity	Closing Rate	Amount	Market Trade Date	Val. %age	Reduced Amount
Grand Total:						

End of Report

Figure 50: Custody Share Valuation Report